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Speech-Language & Audiology Canada Orthophonie et Audiologie Canada Communicating care | La communication à coeur

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77 78	well as the Canadian Academy of Audiology and Speech-Language and Audiology Canada, for their support.
79	their support.
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#### Introduction 103

104 This document was prepared by a joint committee of audiologists representing both the Canadian Academy of Audiology (CAA) and Speech-Language and Audiology Canada (SAC). 105

106

107 The purpose of this scoping review is to create a framework for audiologists who perform 108 vestibular diagnostic assessment and management in Canada. It outlines suggested knowledge requirements and provides direction to help guide practice. It is also meant to provide clarity to 109

110 governments, university training programs, provincial/territorial associations and regulatory

111 bodies, and other health-care professionals (such as physiotherapists, occupational therapists, otolaryngologists, and neurologists) of an audiologist's role in vestibular assessment and 112

- 113 management.
- 114

An evidence-based process using clinical evidence, systematic reviews, and the clinical 115

expertise of the committee was combined to develop this scoping review (The Joanna Briggs 116

117 Institute, 2015). In cases where scientific data was inconclusive, the clinical expertise of the

committee guided the development of consensus-based recommendations. 118

#### **Professional Competence Statement** 119

According to a recent 10-year survey assessing trends of audiologists' opinions and practice, 120

audiologists are the professionals most qualified to conduct vestibular assessment (Nelson, 121

122 Akin, Riska, Andresen, & Mondelli, 2016).

123

124 To perform vestibular testing and treatment, audiologists must obtain continuing education and

hands-on experience beyond what is currently available through entry-level training. This 125 126 training and mentoring may include independent study, online courses, job shadowing, and/or

127 vestibular certification courses. The College of Speech and Hearing Health Professionals of BC

requires an advanced competency certification in vestibular assessment and management to 128

- 129 practice in British Columbia (2014).
- 130

131 Audiologists administering vestibular testing should ensure professional competence and

132 "engage only in the provision of services that fall within their professional competence.

133 considering their level of education, training, and recent experience and/or their access to

134 professional supervision/assistance from qualified colleagues (Speech-Language and Audiology

135 Canada, 2016a)."

#### **Update & Review** 136

137 It is recommended that the contents of this document be reviewed and updated every 5 years or

138 as required based on substantial changes in research and practice in the area of vestibular

assessment and management. As a scoping review, this document is intended to be used as an 139 initial framework toward the development of a graded, evidence-based, systematically reviewed

140

141 guideline for Canadian audiologists.

142

# 143 Disclaimer

While every effort has been made to ensure the accuracy of the content of this scope review, neither the authors, Speech-Language and Audiology Canada, nor the Canadian Academy of Audiology accept any liability with respect to loss, damage, injury, or expense arising from any errors or omissions in the contents of this work.

# 148 Background

## 149 Vestibular Statistics

150 The United States' National Institute on Deafness and Other Communication Disorders (NIDCD) states that vestibular dysfunction is common among adults (NIDCD, 2006). According to data 151 from the 2001–2004 National Health and Nutrition Examination Survey, 35% of Americans aged 152 153 40 years and older (69 million individuals) had objective evidence of vestibular dysfunction 154 (Agrawal, Carey, Della Santina, Schubert, and Minor, 2009). Vestibular disorders can affect the peripheral vestibular system, the central vestibular system, or a combination of both. A literature 155 156 review reported that 44% of patients with dizziness complaints presenting to primary-care 157 offices, emergency rooms, and referral clinics suffered from peripheral vestibulopathy and in 11% of cases, the patients' dizziness was attributed to central vestibulopathy (Kroenke, 158 159 Hoffman, & Einstadter, 2000). 160 Examples of peripheral disorders include benign paroxysmal positional vertigo (BPPV). 161 Ménière's disease, vestibular neuritis and labyrinthitis, vestibular schwannoma, perilymphatic 162

162 Meniere's disease, vestibular neuritis and labyrinthitis, vestibular schwannoma, perilymphatic 163 fistula, superior semicircular canal dehiscence syndrome, and the effects of trauma. Central 164 disorders include vestibular migraine, brainstem or cerebellar stroke, and vertebrobasilar 165 insufficiency.

165 insut 166

Neuhauser and Lempert (2009) reported that the top two most common vestibular disorders are BPPV and vestibular migraine. Von Brevern et al. (2007) reported that BPPV accounts for 8% of individuals with moderate or severe dizziness/vertigo. The lifetime prevalence of BPPV is 2.4%, the 1-year prevalence is 1.6%, and the 1-year incidence is 0.6%. On multivariate analysis, age, migraine, hypertension, hyperlipidaemia, and stroke were reported to be independently associated with BPPV. Osteopenia has also been found to be associated with BPPV (Yu, Liu,

- 173 Cheng, & Wang, 2014).
- 174

Vestibular migraine accounts for 6 to 7% of patients in neurologic dizziness clinics and has been
found in 9% of patients in a migraine clinic case series (Neuhauser, Leopold, von Brevern,
Arnold, & Lempert, 2001; Dieterich & Brandt, 1999).

178

Regarding Ménière's disease, studies conducted in Finland, the United Kingdom, and the
United States between 1970 and 2000 estimated the prevalence to be 0.043%, 0.20%, and
0.22%, respectively (Kotimäki, Sorri, Aantaa, & Nuutinen, 1999; Minor, Schessel, & Carey,
2004; Wladislavosky-Waserman, Facer, Mokri, & Kurland, 1984).

183

184 With respect to children, Gioacchinia, Alicandri-Ciufellia, Kaleci, Magliulio, and Re (2014)

reviewed 10 articles comprising a total of 724 subjects. Overall, benign paroxysmal vertigo of childhood (18.7%) and vestibular migraine (17.6%) were the two main entities connected with vertigo and dizziness. Head trauma (14%) was the third most common cause of vertigo. The
authors advise that when evaluating a young patient with vertigo and dizziness, clinicians
should be aware the symptoms are often connected to different pathologies in comparison to
the entities observed in the adult population.

191

## **192 Consequences of Vestibular Dysfunction**

193 Symptoms from vestibular dysfunction can range from mild to severe and may include imbalance or unsteadiness, vertigo, light-headedness, visual disturbances, nausea, headaches, 194 195 muscular aches in the neck and back, motion intolerance, and problems with concentration and memory. Vestibular dysfunction can occur in conjunction with hearing loss, tinnitus, aural 196 fullness, sensitivity to pressure changes, and sensitivity to loud sounds. Vestibular disorders are 197 198 linked to an increased incidence of falls, psychological and psychiatric disturbances, panic disorders, and cognitive impairment (Mira, 2008). Mira (2008) reported that the main goals of 199 200 vestibular disorder treatments are controlling symptoms, reducing functional disability, and 201 improving quality of life.

202

Among community-dwelling older adults, the risk of falling is 3-4 times higher among people 203 with muscle weakness or gait and balance disorders (Stevens, Corso, Finkelstein, & Miller, 204 205 2006). The Public Health Agency of Canada (2014) reports that falls account for more than half of all injuries among Canadians aged 65 years and over. One third of community-dwelling 206 207 Canadian seniors experience one fall each year, and half of those will fall more than once. The 208 likelihood of dving from a fall-related injury increases with age. Among seniors, 20% of deaths related to injury can be traced back to a fall (Public Health Agency of Canada, 2011). Falling is 209 210 associated with morbidity, reduced functioning, and premature nursing home admissions 211 (American Geriatrics Society, 2011). Non-injurious falls can also have serious consequences, such as a fear of falling, self-imposed activity restriction, and further functional decline (Rawski, 212 213 1998). Other risk factors include a history of falls, lower extremity weakness, cognitive impairment, neurological impairment, co-morbidity, altered elimination, arthritis, and/or use of 214 certain medications, especially psychotropic drugs (Leipzig, Cumming, & Tinetti, 1999; Gispen, 215 216 Chen, Genther, & Lin, 2014). Another goal of vestibular assessment and management is reducing the burden of fall-related injuries and possible death for those at risk. 217

Patients with hearing loss may also be at risk for reduced physical activity. Gispen et al. (2014) found that moderate or greater hearing impairment in older adults is associated with lower levels of physical activity, independent of demographic and cardiovascular risk factors (Public Health Agency of Canada, 2014). This is important for audiologists to take into consideration when managing patients with hearing loss and assessing their risk of fall.

## 223 **Population Statistics**

In 2015, for the first time in Canadian history, older seniors (persons aged 65 years and older) 224 outnumbered those under 15 years of age (Canadian Medical Association, 2016). The 225 226 proportion of older seniors among the total senior population is expected to increase from 26.6% in 2013 to 39.4% in 2045. By 2063, the number of Canadians aged 80 years and over is 227 expected to reach nearly 5 million, compared with 1.4 million in 2013 (Statistics Canada, 2014). 228 229 The high occurrence of vestibular dysfunction combined with a projected increase in the senior 230 population in Canada highlights the importance of vestibular assessment and management training in university programs, sufficient numbers of audiologists across the country providing 231

- these services, and access to ongoing continuing education opportunities for practicing
- audiologists.

# <sup>234</sup> Interprofessional Team Approach

An interprofessional team approach to the management of dizzy and unsteady patients 235 236 improves patient coping, functionality, and satisfaction, and decreases overall health-care utilization in vestibular patients (Naber et al., 2011). Members of the team may include (but are 237 not limited to): primary care physicians, otolaryngologists, neuro-otologists, neurologists, 238 239 audiologists, physiotherapists, occupational therapists, optometrists, pharmacists, psychiatrists, physiatrists, cardiologists, and psychologists. All professionals who specialize in vestibular 240 assessment and management must understand the role and referral process of each team 241 242 member, establish a cooperative approach that relies on the sharing of information, and recognize when a referral to (an) appropriate team member(s) may be required. 243

# 244 Patient Safety

The SAC Code of Ethics Standard 4, "Safety," states: 245 "Members and associates shall: 246 Take every precaution to avoid harm to patients or clients. This includes following 247 • applicable occupational health and safety and infection prevention and control practices, 248 249 and ensuring that equipment is appropriately calibrated and in proper working order. 250 Ensure that their employees and/or supervised personnel comply with relevant • occupational health and safety and infection control policies and procedures (SAC, 251 2016a)." 252 253 254 For vestibular assessment and management, the following patient safety measures should be 255 addressed: 256 257 1. Follow proper infection control precautions as outlined in The Infection Prevention and Control Guidelines for Audiology, written by the Canadian Interorganizational Steering 258 259 Group for Speech-Language Pathology and Audiology (2010). 2. Advise patients appropriately to stop certain medications (e.g., vestibular sedatives) at 260 least 48 hours before undergoing vestibular testing. It is important that patients are 261 advised to consult with their referring physician regarding these medications. The 262 referring physician should advise the patient which medications should be stopped and 263 264 the audiologist should verify that the patient has adhered to this advice prior to vestibular 265 testing (British Society of Audiology, 2014). 3. Stop testing and/or treatment if there are any complicating or medical contraindications. 266 4. Obtain informed consent prior to vestibular testing or treatment, with information given 267 about the specific known risks of each test. Patients should be informed that vestibular 268 269 testing may temporarily cause an increase in their imbalance. It is recommended that 270 patients come to their appointments accompanied by someone to reduce the risk of fall and any difficulty driving following testing (Accreditation Canada, Canadian Institute for 271 272 Health Information. & Canadian Patient Safety Institute, 2014). 5. Create a falls prevention strategy to minimize patient injury from falls in the workplace. 273 The Required Organizational Practice (ROP)'s "Falls Prevention Strategy" requires 274 clinics to implement and evaluate a falls prevention strategy. All populations at risk must 275

- be identified, the specific needs of the populations at risk must be addressed, and 276 measures must be taken to evaluate and provide ongoing improvements to the falls 277 278 prevention strategy on an ongoing basis. A safety risk assessment should be made for 279 each patient at the beginning of service and should include (Accreditation Canada et al., 2014): 280 281
  - a. a review of internal and external physical environments
  - b. medical conditions requiring special precautions
  - c. information sharing with team partners who may be involved in planning of care
    - d. regular updates and improvements to the safety risk assessment
    - e. education of patients and their families on home safety issues identified in the risk assessment

#### **Current Status of Canadian Audiology Scope of** 288 **Practice & Certification** 289

#### Provincial/Territorial 290

A committee review of Canadian provincial/territorial professional associations and/or regulatory 291 bodies revealed no specific scopes of practice or guidelines for vestibular practice. 292

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294 The College of Speech and Hearing Health Professionals of BC is the only regulatory board to 295 date requiring audiologists to obtain an Advanced Competency Certificate in order to perform vestibular assessment and management. It involves successfully completing a graduate-level 296 297 course of at least four credit hours in vestibular system function and assessment or a program of study that the committee agrees is similar to a graduate-level course (College of Speech and 298 299 Hearing Health Professionals of BC, 2014). An active registrant must be certified by the 300 College's registration committee (College of Speech and Hearing Health Professionals of BC, 2014). 301

302

For a listing of general requirements to obtain vestibular certification for the College of Speech 303

- and Hearing Health Professionals of BC, please refer to: 304
- 305 http://www.cshhpbc.org/docs/cshhpbcbylaws.pdf
- 306

#### National 307

308 Both the Canadian Academy of Audiology (CAA) and Speech-Language and Audiology Canada (SAC) include vestibular assessment and management within their scope of practice documents 309 310 and position statements for the field of audiology.

311

313

The CAA Position Statement on Audiology Scope of Practice (2002) states: 312

- An audiologist is an independent, professional provider of
- primary hearing health care, who specializes in the prevention 314 315
  - of hearing loss and in the identification, assessment, diagnosis,
- 316 management, and treatment of hearing and balance disorders.

317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333	<ul> <li>The central focus of the profession of audiology is on human hearing, both normal and impaired, and its relationship to disorders of communication. Because of their potential relationship to auditory impairments, a secondary focus of audiology is on vestibular or balance disorders.</li> <li>Assessment of the vestibular system includes administration and interpretation of clinical and electrophysiologic tests of equilibrium. Assessment is accomplished using standardized testing procedures and appropriately calibrated instrumentation. Interpretation of test results may include diagnostic statements as to the site of lesion within the vestibular system, and/or the probable etiology of the impairment.</li> <li>Audiologists are also involved in the rehabilitation of persons with vestibular disorders. They participate as members of vestibular rehabilitation therapy including, for example, habituation exercises, balance retraining exercises, and general conditioning exercises.</li> </ul>
334	
335	To review the complete CAA Position Statement on Audiology Scope of Practice please refer
336	to: https://canadianaudiology.ca/professional-resources/scope-of-practice/
337	
338	SAC's Scope of Practice for Audiology (2016b) states:
339	<ul> <li>Assessment of hearing, vestibular function and balance, which may</li> </ul>
340	involve screening, identification, evaluation, diagnosis, and
341	counselling.
342	<ul> <li>Intervention for hearing, vestibular, and balance disorders, which may</li> </ul>
343	involve promotion, prevention, counselling, treatment, consultation,
344	management, (re)habilitation, and education.
345	<ul> <li>Measurement of patient or client outcomes for these services.</li> </ul>
346	<ul> <li>Consultation with and referral to other professionals.</li> </ul>
347	<ul> <li>Clinical areas of service may include, but are not limited to:</li> </ul>
348	<ul> <li>vestibular and balance dysfunction; and the impacts of these</li> </ul>
349	conditions on everyday life.
350	<ul> <li>Assessment, selection, prescribing/recommending, dispensing,</li> <li>validation, varification, continuing, and development of begring aide</li> </ul>
351	validation, verification, servicing, and development of hearing aids
352 353	and other appropriate hearing assistive and (re)habilitative strategies for individuals with hearing impairment, auditory processing, balance
353 354	dysfunction, tinnitus, and/or related disorders. This could include
355 355	cochlear implants, other implantable hearing devices, assistive
356	technology such as FM systems, speech reading classes, tinnitus re-
357	training, and vestibular (re)habilitation as well as measurement of
358	patient or client outcomes for these technologies and strategies.
359	<ul> <li>Prevention, counselling, and education services to patients or clients,</li> </ul>
360	families, caregivers, other professionals, and the public regarding all
361	aspects of hearing and balance function.
362	<ul> <li>Advocacy on behalf of individuals with auditory disorders, balance</li> </ul>
363	disorders, and other related disorders and populations that are at risk.
364	<ul> <li>University and/or college education and training pertaining to hearing,</li> </ul>
365	vestibular, balance, and other related disorders.
366	<ul> <li>Research in hearing, vestibular function, balance, and other related</li> </ul>
367	areas.

368

To review the complete SAC Scope of Practice for Audiology please refer to: <u>http://www.sac-oac.ca/sites/default/files/resources/scope\_of\_practice\_audiology\_en.pdf?\_ga=1.239600256.208</u>
 4794186.1403892485

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# **Knowledge Required**

374 As mentioned above, audiologists should obtain additional and ongoing education and training 375 and, in British Columbia, must obtain an Advanced Competency Certificate in vestibular assessment and management. 376 The purpose of this section is to provide the audiologist with guidance on the knowledge 377 378 required to practice in the area of vestibular assessment and management. 379 The audiologist should gain: 380 knowledge of anatomy and physiology of the peripheral and central vestibular systems 381 382 and their connections with the hearing, visual, and somatosensory systems; 383 knowledge of pathophysiology of vestibular and balance disorders (otologic and non-• 384 otologic); experience obtaining relevant case history; 385 • knowledge of self-report measures such as the Dizziness Handicap Inventory (Jacobson 386 • 387 & Newman, 1990); the ability to identify contraindications to vestibular assessment or portions thereof; 388 • understanding of the effects of different medications and substances on test results; 389 • 390 • knowledge and experience in administering and interpreting various vestibular assessment techniques, including but not limited to: 391 bedside tests of vestibular function, such as head impulse testing, oculomotor 392 0 393 testing (gaze-evoked nystagmus, saccades, optokinetics, and tracking), head 394 shake testing, hyperventilation testing, closed glottis straining, and external canal 395 pressurization (fistula test): pre-testing screenings, such as vertebral artery screening test and cervical 396 0 397 vertigo test: subjective visual vertical test; 398 0 399 dynamic visual acuity test (DVAT): 0 400 0 video head impulse test; electronystagmography (ENG) or videonystagmography (VNG), including 401 0 oculomotor testing, positioning and positional testing, and caloric testing (air, 402 403 water, and/or ice water): electrophysiological tests such as electrocochleography and vestibular evoked 404 0 myogenic potentials (cervical and ocular); 405 406 postural stability tests and computerized dynamic posturography 0 407 autorotation and rotary chair tests 408 knowledge of repositioning maneuvers for treatment of different forms of benign • paroxysmal positional vertigo; 409 understanding of vestibular rehabilitation therapy: identifying candidates and providing 410 management strategies and available resources; 411 the ability to identify contraindications for vestibular rehabilitation therapy; 412 the ability to identify clients who are at risk for falls and provide management strategies 413 • 414 and resources; 415 understanding of outcome measures and monitoring therapy progress; •

- knowledge of medical and surgical treatment for otologic vertigo and balance disorders;
- the ability to recognize the need for referral to medical specialists or other professionals;
   and
- the ability to recognize complications and knowledge to deal with them.

# 420 Vestibular Assessment

## 421 **Case History**

Dizziness is the third most common presenting complaint in outpatient clinics (Bennett &
Jacobson, 2007). For most people it is a sensation difficult to describe and can encompass a
wide range of symptoms, such as light-headedness, unsteadiness, imbalance, rocking, swaying,
and vertigo. Vertigo is defined as an illusory perception of movement (rotatory or non-rotatory)
of self and/or the surroundings (Brandt, Dieterich, & Strupp, 2013). True rotatory vertigo is
traditionally associated with unilateral inner ear pathology.

428

Obtaining a detailed case history is an essential part of the assessment and management of vestibular disorders. It allows the clinician to formulate a working hypothesis of the origin of the complaints, which will be either confirmed or rejected based on the results of the quantitative testing (Bennett & Jacobson, 2007). It is important to remember that some patients have more

than one cause of their dizziness (e.g., Ménière's Disease and BPPV).

434

Given the natural complexity of vestibular disorders and the variety of presenting symptoms, it may be helpful for the clinician to use facilitating tools such as questionnaires and pre-defined interview questions. Patients may need to be guided to stay on topic during a case history interview.

439

The following aspects are suggested as the most helpful in differentiating the various vestibular/balance disorders (Brandt et al., 2013):

442

**Type of dizziness:** It is important to first allow patients to describe their experiences without using suggestive vocabulary and directive questions. As most patients will likely use vague terms such as dizzy, off-balance, and light-headed, the clinician may ask the patient for comparisons with previous experiences such as being on a merry-go-round or standing on a pier. Often, patients will gesture while describing their dizziness, and this may also be helpful to the clinician in clarifying the type of dizziness experienced.

449

# Table 1: Examples of syndromes presenting with vertigo and light-headedness (adapted from Brandt et al., 2013)

Syndromes presenting with vertigo	Syndromes presenting with light-headedness
BPPV, vestibular migraine, acute vestibular neuritis, viral labyrinthitis, Ménière's disease	heart disease, orthostatic dysregulation, vasovagal attacks, (pre)syncope, hyperventilation syndrome, panic attacks, phobic postural vertigo, electrolyte disorders, hypoglycemia, intoxications, medications

452

- 453 **Duration of dizziness:** The clinician should at first determine whether the dizziness symptoms 454 are constant or intermittent/episodic in nature. If the patient reports episodic vertigo, the duration 455 may be described as lasting seconds to minutes, minutes to hours, days to weeks, or months to 456 years.
- 457

#### 458 **Table 2: Examples of vertigo syndromes according to duration of symptoms (adapted** 459 **from Bennett & Jacobson, 2007 and Brandt et al., 2013)**

Lasting seconds to minutes	Lasting minutes to hours	Lasting days to weeks
BPPV, vestibular paroxysmia, perilymphatic fistula, third window lesions	Ménière's disease, vestibular migraine, transient ischemic attacks, panic attacks	acute vestibular neuritis, viral labyrinthitis, multiple sclerosis

460

461 **Triggering or exacerbating factors and improving factors:** It is important to distinguish

462 spontaneous attacks of vertigo from those triggered by movement (of self, such as walking, or of

the environment, such as watching traffic), specific changes in position, loud sounds, cough,

464 physical exertion, diet, and environmental factors. Spontaneous vertigo may occur in Ménière's

disease and vestibular migraine. Vestibular neuritis is not classically associated with attacks, but

rather a single attack that persists. An understanding of vestibular compensation,

decompensation, and failure to compensate is important when examining improving factors.

468

# Table 3: Examples of triggering factors and possible associated vertigo syndromes (adapted from Bennett & Jacobson, 2007 and Brandt et al., 2013)

Trigger	Possible cause
changes in head position relative to gravity	BPPV
changes in cerebrospinal fluid (CSF) pressure (coughing, Valsalva) combined with dizziness or nystagmus induced by loud sounds (Tullio's phenomenon)	perilymphatic fistula/inner ear bone dehiscence
walking (oscillopsia)	bilateral vestibular hypofunction
lateral neck extension	vertebrobasilar insufficiency/ vestibular paroxysmia
moving from sitting or lying down to upright	orthostatic hypotension

<sup>471</sup> 

472 Accompanying symptoms: Symptoms may originate from the inner ear (hearing loss, tinnitus, 473 aural fullness, oscillopsia), the central nervous system (double vision, paresthesias, disorders of 474 swallowing, speaking, movement) or migraine typical symptoms (photo and phonophobia, visual 475 auras, headache). Nausea and vomiting are commonly reported accompanying symptoms and 476 should always be noted when present. 477

#### Table 4: Examples of accompanying symptoms and possible associated vertigo syndromes (adapted from Bennett & Jacobson, 2007 and Brandt et al., 2013).

Associated symptoms	Possible cause
Hearing loss, aural fullness, tinnitus with autophony and hyperacusis	Ménière's Disease Third Window Lesion
Headaches, auras, phono- and/or photophobia	Migraine
Pain, otorrhea	Otologic disease
Facial weakness, ataxias, paresthesias	Stroke/Tumour / Multiple Sclerosis / Head Trauma
Oscillopsia	Migraines / Bilateral Vestibular Hypofunction

480

In addition to the specific description of the symptoms as described above, the case historyshould also include questions regarding:

483

Medical history: Determine if there is a positive history of cardiovascular diseases, diabetes,
 neurological diseases (seizures, strokes, migraines, multiple sclerosis), migraines, mental
 health issues (anxiety, depression), falls, head and/or neck problems and injuries, motion
 sickness, vision problems/eye surgeries, and ear disease and surgery. Family history of
 neurological and otological disorders should also be investigated.

489

490 Medications/drugs: Compile a list of current and past medications. The use of recreational
 491 drugs and alcohol should also be included in the case history. Particularly of interest are
 492 ototoxic medications (antibiotics, diuretics), central nervous system–acting medications
 493 (antidepressants, antianxiety and anti-seizure drugs, sedatives), chemotherapeutic agents, anti 494 hypertensive medications, anti-inflammatories and antihistamines.

## 495 Oculomotor Testing

#### 496 **Definition**

A normally functioning vestibular system is not only reliant on a healthy peripheral system, but also on central nervous system (CNS) structures such as the brainstem, cerebellum, and cerebrum. These centres can be evaluated with oculomotor testing, which is partly based on the functioning of the vestibulo-ocular reflex (VOR). The VOR maintains an image on the fovea during head movements by making rapid compensatory eye movements in response to vestibular input. Therefore, the eyes are the most direct means available for evaluating the vestibular system.

504

505 There are four principal oculomotor tests used in the routine clinical evaluation: gaze stability, 506 saccade testing, smooth pursuit tracking, and optokinetic nystagmus. There is overlap in the 507 neural pathways involved in these tests. Though the individual tests can be used to indicate a 508 CNS involvement, they may not point to a specific site of lesion; however, some combination of 509 results may suggest a lesion in a specific region of the brainstem or cerebellum (Shepard, 510 Schubert, & Eggers, 2016).

- 511
- 512 Eye movements can be recorded using video (known as videonystagmography or VNG) or
- 513 electrodes (electronystagmography or ENG). As VNG is the industry standard, ENG will not be
- 514 covered here.
- 515

### 516 A. Gaze Stability

#### 517 Procedure

518 This test evaluates a patient's ability to maintain a gaze fixed on a target while looking straight 519 ahead (primary position), 30° to the right and left, and 20° up and down, without any abnormal 520 eye movements (such as nystagmus). If nystagmus is present, the response must be tested 521 without visual fixation to differentiate between a peripheral and central site of lesion.

522

### 523 Interpretation

524 Any nystagmus evoked must be recorded in terms of its direction (of the fast-phase), velocity,

- 525 evoking position(s) and whether the nystagmus follows Alexander's Law (i.e., does the velocity
- of the nystagmus increase when the gaze is to the same direction as the fast-phase of the
- 527 nystagmus?). Nystagmus seen in the primary position is referred to as spontaneous nystagmus;
- 528 nystagmus in the lateral or vertical positions is known as gaze-evoked nystagmus.

#### 529 530 **Clinical use**

- 531 Abnormalities may be caused by a peripheral or central vestibular dysfunction (Shepard &
- 532 Schubert, 2016). Nystagmus may be considered peripheral if the nystagmus is stronger without
- fixation, is direction-fixed, and has a primarily horizontal component. If the lesion is peripheral,
- the nystagmus should also follow Alexander's Law (as described above). The nystagmus
- usually beats away from the involved side, but in some unusual cases (such as in the irritative
- 536 phase of Ménière's disease or with hyperventilation with retrocochlear pathology) the
- 537 nystagmus can beat towards the involved side.
- 538

539 Primary position nystagmus of central origin may be purely vertical or purely torsional; it is rarely

- horizontal. Central nystagmus is more likely to be direction-changing (e.g., right-beating with
   right gaze, left-beating with left gaze, etc.) than direction-fixed, but both are possible, and it does
- not increase in velocity with fixation removed (Shepard et al., 2016). Horizontal or pure torsional
- 543 gaze-evoked nystagmus of central origin is usually caused by a lesion in the brainstem. Vertical
- 544 gaze-evoked nystagmus can result from lesions in the brainstem or cerebellum.
- 545

546 Saccadic intrusions and oscillations may be recorded during gaze testing, especially with 547 primary gaze testing. These are seen with fixation present and are suggestive of cerebellar 548 and/or brainstem involvement.

549

### 550 **B. Saccades**

### 551 Procedure

552 This test assesses the ability to move the eyes rapidly from one target to another in one 553 movement. Targets are presented at random time intervals and random locations (within a 554 horizontal 30–40° arc), usually by a computerized system. The patient must be instructed to 555 keep his head still while keeping his eyes on the target as closely as possible. The test should 556 be repeated with reinstruction if results are abnormal. 557

#### 558 Interpretation

559 Saccades are assessed by the velocity of the eye movements, the accuracy of each movement 560 (i.e., are there undershoots or overshoots?), and the delay in the onset of the eye movement 561 compared to the target (i.e., latency). Look for the patient's best performance; abnormalities 562 should be consistent (Barin, 2011). Age-related norms are not required for this test. If latencies 563 appear to be unusually short, the patient may be anticipating target movements and should be 564 re-instructed.

#### 565 566 Clinical use

567 Abnormalities in saccadic testing are caused most commonly by pathologies in the brainstem or cerebellum, and less commonly by pathologies in the cerebrum, nerves, or muscles involved in 568 eve movements (Barin, 2011; Hain, 1997b). However, bilateral low velocities, undershoots, and 569 570 large latencies can be caused by poor vision, fatigue, inattention, and/or medication (see the 571 limitations section below). Asymmetrical velocity is often suggestive of an ocular disorder such as internuclear ophthalmoplegia (INO). INO, which is caused by lesions in the medial 572 longitudinal fasiculus, is indicated if adduction movements are slower than abduction 573 movements. A unilateral delayed latency can suggest a lesion in the cerebrum. Bilaterally 574 prolonged latencies are of limited value because of the influence of the patient's mental state. 575 Poor accuracy can suggest a lesion in the vestibulocerebellum, but can also be due to basal 576

- 577 gangliar lesions or ocular disorders such as INO (Barin, 2011; Hain, 1997b).
- 578

#### 579 C. Smooth Pursuit (Tracking)

#### 580 Procedure

581 Smooth pursuit assesses the ability to maintain a smoothly moving target on the fovea (as 582 opposed to using a series of saccades). The target movement can be of fixed velocity or varying 583 velocity (e.g., with a sinusoid). Sinusoidal testing (the more commonly used method) involves a 584 target moving 15–20° from the centre in side-to-side movements along a light bar or projection 585 system. The frequency of the target should be increased between 0.2–0.7 Hz in order to 586 challenge the central nervous system.

587

As with saccade testing, pursuit should be repeated with reinstruction if the results are abnormal, as the mental state of the subject can greatly influence the results (e.g., inattention).

#### 591 Interpretation

Visual pursuit is assessed using gain, asymmetry, and phase. The gain is the ratio of the eye velocity by the target velocity. Asymmetry is the percentage difference in velocity gain between the rightward and leftward eye movements. Phase is a measure of how much the eye is leading or lagging behind the target. Note that if the eye is leading the target then either the eyes' movements are saccadic or the patient needs to be re-instructed because they are anticipating the target movements. Pursuit gain is sensitive to age; therefore, age-based norms must be used.

#### 600 Clinical use

601 Pursuit disorders are usually non-specific (Hain, 1997b). Smooth pursuit is heavily influenced by

the patient's mental state, age, and/or medications. Abnormalities in gain often suggest a

vestibulocerebellar pathology; however, lesions in the cortex or brainstem may also result in

reduced gain. In cases of unilateral reduced gain (i.e., abnormal asymmetry), the abnormality is

605 usually towards the lesioned side (Shepard et al., 2016). Some patients with vestibular migraine

606 may also have saccadic pursuit, particularly if symptoms have been longstanding (Neugebauer,

- 607 Adrion, Glaser, & Strupp, 2013).
- 608

## 609 D. Optokinetic Nystagmus (OKN)/Optokinetic After-Nystagmus (OKAN)

#### 610 Procedure

611 Optokinetic nystagmus (OKN) measures nystagmus created by tracking repeated moving 612 objects across the patient's visual field. The stimuli must fill at least 80–90% of the patient's visual field; therefore, an optokinetic projector is the preferred stimulus delivery equipment 613 614 (Shepard, 2009; Hain, 1997a). Many systems use a light bar or small projection system, which is insufficient for true testing. With these systems, the testing serves primarily as a cross check 615 616 for smooth pursuit testing results. Stimulation velocities vary from 30-60 deg/s. If the lights are 617 turned out after at least 10 seconds, optokinetic after-nystagmus (OKAN) can continue for about 30 seconds. While abnormal OKAN can suggest a lesion in the vestibular nuclei in the pons, 618 619 due to its lack of sensitivity and specificity, it is not typically part of the routine oculomotor 620 assessment (Shepard et al., 2016).

621

### 622 Interpretation

- 623 OKN is assessed using gain (the ratio of eye slow-phase velocity to field velocity). Gain
- decreases as a function of age. Age-related norms have been developed and are applied to the
- 625 test results.

#### 626

#### 627 Clinical use

- Though less sensitive to the patient's mental state than pursuit, OKN is also a less sensitive test
- because it involves two distinct tracking systems—the smooth pursuit system and the
- optokinetic system (Hain, 1997b). Asymmetrical gain in OKN with normal pursuit gain suggests
- a bias of the nystagmus due to unilateral peripheral hypofunction (Shepard et al., 2016). When asymmetrical gain reflects a true central nervous system abnormality, abnormal results in
- asymmetrical gain reflects a fine central nervous system abiomality, abiomal results in
   saccadic or pursuit testing would be expected. OKN is considered the least useful of the
- 634 oculomotor tests (Shepard et al., 2016).
- 635

## 636 Considerations & Limitations of Oculomotor Testing

- 637 Poor calibration will affect all test results in the battery. Abnormally fast saccades and
- unmatched eye and target peaks in smooth pursuit are just two of the abnormal results obtained
   with a poor calibration (Barin, 2006).
- 640
- 641 Spontaneous nystagmus visible with fixation may make it difficult to interpret the oculomotor
- findings. Note that even a mild spontaneous nystagmus can result in asymmetrical smooth
   pursuit and OKN (Hain, 1997b).
- 644
- Nystagmus is difficult to evaluate in patients who blink repeatedly. In some cases re-instruction
   helps, but not all. The audiologist must verify that abnormally fast saccades and/or poor
   saccadic accuracy are not due to blinks.
- 648
- 649 Torsional nystagmus cannot be adequately measured on the horizontal or vertical nystagmus
- 650 tracings, and therefore recordings must be viewed either in real time or recorded and reviewed
- to verify that no torsional nystagmus was evoked.
- 652

- 653 Square wave jerks (in which the eyes briefly move away from the target and return) will be seen
- in some patients and is usually normal, especially in older patients. Normal square wave jerks
- should occur less than 20 to 30 times per minute, be at least 200 ms apart, and be between 1
- and 5° in amplitude. Square waves that occur more often suggest a cerebellar or brainstem
   lesion. A high amplitude (above 5°) suggests a brainstem or cerebellum pathology (Shepard et
   al., 2016).
- 659
- 660 Certain medications, such as sedatives, anti-nausea medication, antihistamines, diuretics,
- tranquilizers, and antidepressants, can result in abnormal nystagmus or suppressed nystagmus.
   Please see Cass and Furman (1993) for details.

#### 663 Contraindications to Oculomotor Testing

- There are few contraindications to oculomotor testing. The patient must be able to see the target without glasses (but may wear contacts) and be able to tolerate the VNG goggles. Dark eye makeup may result in inaccurate pupil tracking and should be removed.
- 667
- 668 Ocular motility disorders (e.g., myopathy, palsies) will affect the accuracy of the testing, as will 669 disconjugate eye movements (e.g., intranuclear ophthalmoplegia, strabismus, amblyopia).

## 670 **Positioning Tests**

### 671 **Definition**

Positioning maneuvers are used to test the posterior, anterior, and horizontal semicircular canal(s) (SCC) for displaced canaliths, such as displaced otoconia or cupuliths in benign paroxysmal positional vertigo (BPPV. They differ from positional evaluations because they require active transition from one position to another.

676

677 BPPV is the most common cause of vertigo in patients with vestibular disorders (Blatt,

678 Georgakakis, Herdman, Clendaniel, & Tusa, 2000). BPPV is thought to occur when calcium

679 carbonate crystals, referred to as otoconia, dislodge from the otoliths and enter one of the

680 SCCs. The displaced otoconia are more commonly free-floating, which is referred to as

canalithiasis; however, they can also adhere to the cupula, which is referred to as

- cupulolithiasis. Due to the anatomical location of the posterior SCC, displaced otoconia more
- commonly enter the posterior SCC; however, there are cases of BPPV involving the horizontaland anterior SCC.
- 685

### 686 A. Dix-Hallpike

687

### 688 Procedure

The traditional Dix-Hallpike involves moving the patient from a sitting position to a position likely to provoke a response (such as a head-hanging position) and then returning to a sitting position. This can be done using the VNG goggles or Frenzel goggles; however, it is beneficial to be able to review recorded eye movements using the VNG goggles.

693

To perform this procedure, have the patient sit on a flat surface. Ensure they are far enough

- from the head of the reclined surface so that their head will be extended over the edge when
- 696 they lie supine. Instruct the patient to keep their eyes open, looking forward with their vision 697 denied. Turn the head 45° to the right. Place your hands on either side of the patient's head and

698 guickly but carefully have the patient recline into a head-hanging position, maintaining the head turned 45° to the right. Ensure that the test ear is lower than the patient's shoulder. Have the 699 700 patient stay in this position for approximately 30 seconds (or until after the nystagmus stops), then ask them to sit back up, keeping their head turned 45° to the right. Have the patient stay in 701 this position for another 30 seconds, and then they may relax to a neutral sitting position. If a 702 positive Dix-Hallpike is observed, repeat the Dix-Hallpike on the same side to check for 703 704 fatigability of the response. Then repeat the whole procedure for the head turned 45° to the left (Halker, Barrs, Wellik, Wingerchuk & Demaerschalk, 2008). 705

#### 707 Dix-Hallpike Modifications

708 709

710

711

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706

• **Fully supported Hallpike:** For patients who cannot tolerate the traditional or side-lying procedure. This modification uses the same procedure as the traditional Dix-Hallpike, but the patient's head remains fully supported on the reclined chair or table, and does not hang below the shoulder (Trendelenberg position).

not hang below the shoulder (Trendelenberg position).
Side-lying maneuver: For patients with difficulty lying flat on their back. The patient sits in the middle of the table with their feet hanging off to one side. Turn their head 45° to the right and instruct the patient to lie down on their left side, maintaining the head turn while having them swing their legs up on the table. Repeat this with the head turned 45° to the left and lying down on their right side.

#### 719 Interpretation

Classic positional vertigo will result in nystagmus that is consistent with the plane of the affected canal while it is stimulated. Vertigo is usually reported while nystagmus is observed. A central

nervous system involvement is suspected when pure vertical or pure torsional nystagmus is

723 elicited. Vertigo is not commonly reported when central positional nystagmus is present.

724 Dix-Hallpike is positive for posterior SCC BPPV if non-sustained torsional and up-beating

nystagmus is observed in the head-hanging position, with or without reversal upon sitting.
 Anterior SCC BPPV also results in transient torsional nystagmus but with down-beating rather

than up-beating nystagmus. For the posterior canals, the torsion beats to the affected ear. A

positive response should fatigue on repeat of the Dix-Hallpike on the same side (see Table 5).

729

#### 730 Table 5: Dix-Hallpike Results for Posterior and Anterior BPPV

BPPV	Dix-Hallpike
Posterior SCC	rotary, up-beating
Anterior SCC	rotary, down-beating

731

Note that, in general, canalithiasis produces transient nystagmus with a few seconds' latency whereas cupulolithiasis results in persistent nystagmus with little or no latency. Involvement of the anterior canal (on either side) will produce nystagmus on both Dix-Hallpike maneuvers (right and left). The direction of the torsional component will determine the affected side.

If horizontal nystagmus is observed, horizontal canal involvement should be further investigated
 with positional head and/or body tests. If atypical nystagmus is recorded, it may allude to
 multiple canal or CNS involvement.

- 739
- 740

741

#### 742 **Clinical Use**

The Dix-Hallpike maneuver is a positioning evaluation to determine if a patient has posterior or 743 744 anterior SCC BPPV. A positive response should trigger referral for management using canalith

745 repositioning maneuvers (e.g., Epley, Semont, Liberatory, Brandt-Daroff, etc.).

#### B. Supine Head-Roll Test (or Pagnini-McClure Maneuver) 746

747

#### Procedure 748

749 The Supine Head-Roll Test (McCaslin, 2013) is a positioning evaluation to determine if a patient has horizontal SCC BPPV. It involves placing the patient in a supine position with the head 750 elevated 30° and rotating the head 90° to the right and left. Following each head turn, the 751 752 clinician observes the eyes for nystagmus for 30 seconds. The patient's head is then returned to 753 centre and held in this position until there is no longer any nystagmus observed. The direction and duration of any nystagmus is measured. The test allows the clinician to localize which ear is 754 affected and where the otolithic debris is likely to be in the canal (see Table 6). 755

756

#### Table 6: Supine Head-Roll Test Results for Horizontal Canal BPPV 757

BPPV	Right Lateral Canal Affected/Positional Body	Left Lateral Canal Affected/Positional Body
Horizontal SCC Canalithiasis (Transient & Delayed Onset)	Geotropic, Stronger on right ear down	Geotropic, Stronger on left ear down
Horizontal SCC Cupulolithiasis (Persistent & Immediate Onset)	Ageotropic, Stronger on left ear down	Ageotropic, Stronger on right ear down

758

#### 759 Interpretation

Localization is determined by measuring which head turn had the greatest intensity of 760

nystagmus. If it is unclear which side is affected, there are other techniques that can be used to 761

determine which ear is impaired, such as the bow and lean test (Choung et. al., 2006). The 762

direction of the nystagmus suggests where in the horizontal canal the debris resides. Geotropic 763

764 nystagmus is the most common form and produces a bilateral geotropic nystagmus during

- lateral head turns which suggests the debris is located in the posterior arm of the horizontal 765
- 766 SCC (Caruso & Nuti, 2005). Bilateral ageotropic nystagmus is less common, but is thought to
- represent cupulolithiasis of the lateral canal (Aron et. al., 2013). 767 768

#### Contraindications 769

770

771 Absolute contraindications: 772

- recent cervical spine fracture
- 773 cervical disc prolapse
- vertebrobasilar insufficiency (VBI), known and documented 774
- 775 recent neck trauma that restricts torsional movement
- 776

777 Caution or modified procedures should be used:

- carotid sinus syncope (if history of drop attacks or blackouts)
- 779 severe back or neck pain
- 780 recent stroke
- 781 cardiac bypass surgery within last 3 months
- 782 rheumatoid arthritis, affecting the neck
- 783 recent neck surgery
- 784 cervical myelopathy
- 785 severe orthopnea, may restrict duration of test
- 787 (British Society of Audiology, 2001)
- 788

786

#### 789 Limitations

A positive BPPV response will fatigue, therefore it is important to test the suspected side first and before doing any supine positional testing.

## 792 **Positional Testing**

#### 793 **Definition**

- Positional testing examines the presence or absence of nystagmus with or without subjective
- dizziness, due to changes in position of the head or the head and body relative to the force of
- gravity. This differs from positioning tests because the head and body are static during
- 797 positional testing.
- 798

Positional nystagmus is thought to occur as a result of reduction in suppression of asymmetrical SCC function due to impaired otolith function or central vestibular pathway involvement. The rationale of testing for nystagmus in various positions is to allow for change of the orientation of the labyrinth in relation to gravity. Testing starts with measuring any nystagmus that occurs in a neutral position called spontaneous nystagmus (SN). Eye movements are recorded with the patient wearing VNG goggles with vision denied. The patient is asked to keep eyes open, looking forward in a neutral sitting position. This is repeated with visual fixation.

806

808

809

807 Positions used for positional testing are:

- **Sitting:** Eye movements are recorded with the patient wearing VNG goggles with vision denied.
- Supine: Eye movements are recorded with the patient wearing VNG goggles with vision denied, and patient supine with head supported at centre, repeated with head turned 90° to the right, and then repeated with head turned 90° to the left. Individuals with restricted neck movement will require a modified angle of head turn.
- **Lateral/Positional Body:** Eye movements are recorded with the patient wearing VNG goggles with vision denied and patient's head and body to the right, and repeated with patient's head and body to the left. N.b., for each positive positional test, a test for suppression of the nystagmus with fixation is completed.
- 818

#### 819 Interpretation

820 Positional nystagmus that is present without fixation may be peripheral or central in nature. Lack

of suppression of the nystagmus when measurement is repeated with visual fixation suggests a

822 central origin. If nystagmus does not alter with positional change, it can be interpreted as purely

spontaneous nystagmus. SN that changes direction is indicative of CNS involvement. SN

- related to unilateral vestibulopathy (peripheral) is usually directionally fixed and suppresses with
- fixation; the fast-phase may beat toward the unaffected ear or toward the weaker labyrinth.

- 826 Occasionally SN beats toward the weaker labyrinth if an irritative lesion is present, notably with
- 827 Ménière's disease or with hyperventilation-induced nystagmus in retrocochlear pathology.
- 828

832

833 834

- Atypical types of SN include, but are not limited to:
- congenital nystagmus: usually presents with horizontal fast and slow-phases; resulting
   from abnormalities in the neural pathways
  - **periodic alternating nystagmus**: changes in direction within the neutral sitting position, irrespective of eye, head, and body position
- N.b., predominantly vertical nystagmus, whether up-beating or down-beating, is an indicator of
   CNS involvement. Up-beating is typically observed in brainstem, cerebellar, multiple sclerosis
   (MS), or drugs. Down-beating is typically observed in cerebellar degeneration, cranio-cervico
   junction (Arnold-Chiari), MS, or VBI.
- Positional Nystagmus With SN: If the nystagmus observed changes in direction or intensity,
   with change in position, it would suggest a spontaneous and positional nystagmus.
- 842

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839

Positional Nystagmus Without SN: In the absence of spontaneous nystagmus, positional
 nystagmus is most likely attributable to that test position.

# 845846 Clinically Significant Responses:

- Slow-phase velocity (SPV) > 5 deg/s
- SPV < 6 deg/s persistent in 4 or more positions
- SPV < 6 deg/s sporadic in all positions
- Direction changing within a given head position (indicates central involvement)
- 852 (Myers, 2011)
- 853 854 **Cervical Vertigo Screening:** Positional nystagmus that is present in head-right or head-left but
- absent in lateral positional testing may be suggestive of a cervicogenic component. Etiologies of
- a cervicogenic component include vertebrobasilar insufficiency and altered cervical
- proprioception. Further investigations should be arranged by the referring or primary care
   physician.
- 860 Clinical Use
- Positional testing is used clinically to determine asymmetry in the vestibular afferents of either peripheral-origin or the central nervous system.
- 863

### 864 Contraindications

- Absolute contraindications and cautions can be found under the Dix-Hallpike Testing section (page 19).
- 867

### 868 Limitations

Alcohol and pharmacologic drugs may also contribute toward positional nystagmus.

## 870 Caloric Irrigation Testing

#### 871 **Definition**

872 The caloric test evaluates the vestibulo-ocular reflex. It involves irrigating the ear canal to

873 assess the function of the peripheral vestibular system, specifically of the horizontal semicircular 874 canal and superior vestibular nerve.

875

Within the semicircular canal ampullae, the endolymph and cupula are the same density. The temperature change delivered by calorics changes the density of the endolymph and it therefore deflects the cupula in the lateral semicircular canal. Warm stimuli results in an endolymph rise towards the ampulla and an excitatory neural response; cool stimuli, the opposite. There may also be an effect of temperature on the vestibular nerve (Barin, 2016b). As neural firing is unchanged in the non-test ear, the asymmetry in neural firing is perceived by the VOR as a rotation towards the test ear and the eyes move accordingly (Barin, 2016a).

883

Nystagmus can be recorded using video goggles (known as VNG) or electrodes (ENG). As
 VNG is the industry standard, ENG will not be covered here.

#### 886 Procedure

The patient's head is raised approximately 30° to position the horizontal canal vertically. The temperature of the air or water is a set amount above or below body temperature, typically 44 and 30 °C for water.

889 and 890

891 With visual fixation removed (e.g., by covering the goggles), either the right or left ear canal is 892 irrigated with warm water or air for 30 to 40 seconds. Warm caloric irrigations should be tested first if use of the monothermal caloric screening test is under consideration (see below). The 893 894 suspect ear should be tested first; if the patient declines further testing after the first caloric then 895 the audiologist will have at least collected information about the ear of interest (Lightfoot, 2004). The patient's eve movements are recorded with either VNG goggles or electrodes (if ENG is 896 used). If the patient appears to be suppressing the nystagmus, the examiner should ask the 897 898 patient questions to distract them (this is known as tasking or mental alerting). When the nystagmus begins to slow, the patient is asked to fixate on a target (e.g., a light in the goggles) 899 900 and the nystagmus is observed for suppression. The peak velocity of the nystagmus without fixation is then measured for each irrigation performed. As in other vestibular tests, nystagmus 901 902 is named according to the direction of the fast-phase, and its velocity is measured using the slow-phase. The nystagmus should beat in the direction of the irrigated ear for warm water, and 903 904 away from the irrigated ear for cool water. This is summarized by the acronym COWS (cold 905 opposite; warm same).

906

When possible, water should be used as the stimulus, as it evokes a stronger response and results are less variable (Goncalves, Felipe, & Lima, 2008). However, in the presence of contraindications to water (see below), then air may be used. Please see the reference for more detail about the testing procedure.

911

#### 912 Monothermal Caloric Screening Test

913 If warm caloric irrigations are performed first and the asymmetry is less than 15%, then it is 914 possible to predict a normal outcome with 95% sensitivity. However, both warm calorics must be 915 greater than 8 deg/s and any spontaneous nystagmus must be below 4 deg/s to use this 916 screening test (Lightfoot et al., 2009).

917

#### 918 Ice Calorics

919 Ice calorics may be used to determine if any vestibular function remains at low frequencies. This

test is appropriate if bithermal calorics do not evoke nystagmus (or the nystagmus is very weak)
 in an ear or only spontaneous nystagmus is observed. Please see the British Society of

- Audiology's *Recommended Procedure for the Caloric Test* (2014) for instruction on
- 923 administering ice calorics.

#### 924 Interpretation & Clinical Use

925 The following measures are typically used to interpret calorics.

926 Unilateral Weakness (UW): This is used to indicate a lesion in the horizontal semicircular canal
 927 or its afferent pathways, and is measured using Jongkees' formula:
 928

929 930

931

UW % = <u>(Right Warm + Right Cold) – (Left Warm + Left Cold)</u> X 100 Right Warm + Right Cold + Left Warm + Left Cold

A value of over 20–33% is suggestive of a vestibular weakness to one side (Goncalves et al.,
2008; Barin, 2016a); however, if the absolute velocity values are low, the UW must be
interpreted with caution. Labyrinthitis, Ménière's disease, vestibular neuritis, vestibular
schwannomas, or an ischemic infarction can all result in a unilateral weakness in caloric testing
(Barin, 2016a).

937

Directional Preponderance (DP): DP calculated from bithermal caloric irrigations is the
tendency of nystagmus to beat more strongly in one direction. The relevance of DP is
controversial, and it is non-localizing (British Society of Audiology, 2014; Goncalves et al.,
2008). Values over 25–50% have been suggested as abnormal (Barin, 2016a). The examiner
must ensure that the DP is not a result of spontaneous nystagmus, which is added to or
subtracted from the nystagmus evoked from the caloric.

- 944
- 945 946

947

DP % = <u>(Right Warm + Left Cold) – (Left Warm + Right Cold)</u> X 100 (Right Warm + Right Cold + Left Warm + Left Cold)

**Bilateral Hypofunction:** A bilateral weakness occurs when the caloric response is absent or very weak in both ears. There are a wide range of values used to define a bilateral weakness, some using the sum of all four calorics (e.g., total < 30 deg/s) or based on the total response of each ear (total right ear or total left ear is  $\leq$  12 deg/s) (Barin, 2011).

Bilateral hypofunction can be caused by ototoxic medication or any pathology that affects either both peripheral systems or the central vestibular pathways. It may also be caused by insufficient heat transfer or medications. If possible, bilateral hypofunction should be confirmed using the rotary chair (Barin, 2016a).

**Fixation Index (FI):** The FI measures the extent to which the caloric nystagmus is suppressed by visual fixation. The failure to fixate indicates a central lesion, often in the cerebellum (Barin, 2016a).

961 962

963

964

FI % = <u>NysFix</u> X 100 NysNoFix

NysFix is the average nystagmus velocity 5 seconds after fixation was introduced, and NysNoFix is the average nystagmus velocity 5 seconds before fixation was introduced. The fixation index should be lower than 50–60%; otherwise a central pathology must be considered (British Society of Audiology, 2014; Barin 2016a).

- Hyperfunction: Hyperactive responses are caused by the loss of the VOR inhibitory function
   and also denote a central lesion. Again, there is a wide range of values used to define a
   hyperactive response, some based on the total responses per ear (e.g., total response per ear
   is ≥140 deg/s), some based on the total warm or total cool responses (Barin, 2016a). Please
   see Barin (2016a) for less common abnormalities in caloric testing.
- 975 **Contraindications**
- 976

977 **Otologic considerations:** Water cannot be used with a perforated eardrum, active middle ear 978 dysfunction, or patients who have undergone a mastoidectomy. While air can be used in these 979 cases, the caloric weakness value can only be used to determine the presence or absence of a 980 response (Goncalves et al., 2008). Ear infections and significant amounts of wax must be 981 resolved/removed before calorics can be administered.

982

Calorics may be contraindicated in patients with limited eye mobility (e.g., ocular myopathy) or recent eye surgery.

985

986 Caloric testing is not recommended in the presence of medical problems (e.g., acute

hypertension, psychotic disorders, epilepsy, arrhythmias, recent heart attack). For a full list
 please see the British Society of Audiology's *Recommended Procedure for the Caloric Test* (2014).

990 Limitations

Wax or middle ear fluid may result in inadequate heat transfer through to the horizontal canal, and therefore any hypofunction obtained must be interpreted with caution.

993

The caloric test only assesses the vestibular system's response to unnaturally low frequency
 movements (0.002–0.004 Hz); therefore, an absent response on the caloric test does not
 necessarily mean a complete lack of vestibular function (Shepard, 2009).

997

The caloric test evaluates the VOR originating in the horizontal semi-circular canal. The function
of the otoliths, anterior and posterior semi-circular canals, and inferior branch of the vestibular
nerve must be assessed with other tests, such as the Video Head Impulse Test (vHIT) and
Vestibular Evoked Myogenic Potentials (VEMPs).

1002

1003 Certain medications, such as sedatives, anti-nausea medication, anti-histamines, diuretics, 1004 tranquilizers, and anti-depressants, can suppress the caloric response (Cass and Furman,

- 1005 1993).
- 1006

## 1007 Rotary Chair Testing

#### 1008 Definition

1009 The rotational chair evaluates the VOR. The VOR generates compensatory eye movements 1010 during rotational or translational movements (accelerations) of the head.

1011

1012 The VOR is the mechanism that results in equal and opposite eye movement when the head is

1013 rotated in order preserve a stable image on the fovea. The accuracy of the eye movement

1014 depends on the signal received from the semicircular canals, which detect angular acceleration.

1015 When an impairment is present, the eye movements generated by the VOR will not properly

- 1016 account for the head rotation that took place and retinal slip will occur. Patients with bilaterally
- reduced VOR function may present with symptoms of oscillopsia or visual blurring during headrotations.
- 1019
- 1020 The rotational chair measures the VOR across multiple frequencies of rotation, allowing
- simultaneous stimulation of the horizontal semicircular canals. In addition, the rotation chair
- 1022 provides information about the overall function of the system during angular acceleration of the 1023 head.
- 1024

#### 1025 Procedure

- 1026 Rotational chair testing is generally completed in a darkened room with a separate area housing 1027 the computer for analysis. The patient is secured in the chair with a head restraint and harness 1028 to ensure safety during testing. A two-way communication system allows the patient to remain in 1029 contact with the examiner throughout the test. The patient's head should be tilted down at a 30° 1030 angle to align the canals with the axis that is being stimulated during the test (Arriaga, Chen & 1031 Cenci, 2005). There are a number of rotational chair tests that are clinically useful for assessing 1032 the VOR.
- **Sinusoidal (or Slow) Harmonic Acceleration Test:** In this test, the rotational chair moves at incremental frequencies in a sinusoidal pattern. The most common octave frequency range is between 0.01 Hz and 2 Hz. This allows the measurement of eye movements at velocities of rotation ranging from 20 to 80 deg/s. Data is averaged over a minimum of 3 cycles for each frequency to compute gain, asymmetry, and phase.
- 1039

1033

1040 Velocity Step Test: The velocity step test involves rapid acceleration of the chair (100–200 1041 deg/s) until the chair reaches a predetermined velocity. The velocity is then maintained for a 1042 period of time (60 seconds is typical) and then rapid deceleration occurs. The test is repeated 1043 for both clockwise and counter-clockwise directions at fixed velocities. Nystagmus during 1044 rotation is compared to nystagmus in the post-rotary condition to compute the time constant and 1045 gain.

- 1046
- 1047 Visual Fixation Suppression Test: Goulson, McPherson, and Shepard (2016) indicate that the 1048 visual fixation suppression test suggests central nervous system deficit affecting this function, 1049 which overlaps with the neurological substrate for smooth pursuit and gaze fixation. The patient 1050 is asked to fixate on the calibration light that moves with the rotational chair, allowing the 1051 measurement of eye movements that may or may not be suppressed with fixation. 1052
- 1053 VOR Cancellation or Suppression Test (VOR Enhancement): In the VOR cancellation or
   1054 suppression test, the optokinetic stripes are presented on the walls of the rotational chair booth
   1055 while rotating the patient sinusoidally at a predetermined test frequency (Ruckenstein & Davis,
   1056 2015). Ruckenstein and Davis (2015) suggest that this test can provide information regarding
   1057 the integrity of the central vestibulo-ocular pathways.
- 1059 Interpretation

Sinusoidal (or Slow) Harmonic Acceleration Test: Three parameters are assessed, including
 gain, asymmetry, and phase. Significant reduced gains suggest bilateral vestibular involvement;
 however, reduced gains [particularly in the lower frequencies of rotation] can also occur as a
 result of unilateral weakness (Ruckenstein & Davis, 2015). Asymmetry that is outside normal
 limits suggests an acute, physiologically uncompensated vestibulopathy; whereas asymmetry

- within normal limits suggests a physiologically compensated vestibulopathy. Ruckenstein and
   Davis (2015) suggest that abnormal phase leads suggest a peripheral vestibular abnormality. It
   is important to note that side of lesion cannot be determined by rotary chair testing.
- 1069
  1070 Velocity Step Test: Two parameters are typically assessed, including gain and time constant.
  1071 Ruckenstein and Davis (2015) suggest that reduced gain for clockwise per-rotary or counter1072 clockwise post-rotary conditions indicate a right paretic lesion is more likely, but a left irritative
  1073 lesion cannot be excluded. The opposite would be suggested for reduced gains in the other two
  1074 conditions (Ruckenstein & Davis, 2015). Abnormally short time constants are seen in cases of
  1075 unilateral or bilateral peripheral abnormalities, whereas abnormally long time constants suggest
  1076 cerebellar involvement (Ruckenstein & Davis, 2015).
- 1077
- 1078 Visual Fixation Suppression Test: If the rotational-induced nystagmus is not decreased or
   1079 abolished with fixation, then central involvement may be suggested (Ruckenstein & Davis,
   1080 2015).
- 1081
- VOR Cancellation or Suppression Test (VOR Enhancement): It is expected that the
   optokinetic strips will elicit visually mediated nystagmus at the same time that nystagmus is
   produced as a result of vestibular stimulation, causing an increase in gain for the same
   sinusoidal frequency in the dark (Ruckenstein & Davis, 2015). If the gain is reduced during this
   test (compared to the same sinusoidal frequency in the dark), central involvement may be
   suggested.

### 1089 Contraindications

- 1090 Patients who are very claustrophobic may not be able to tolerate rotational chair testing. As well, 1091 if a patient reports significant nausea from the test, the test should be temporarily halted and/or 1092 discontinued.
- 1093

## **1094 Vestibular Evoked Myogenic Potentials (VEMPs)**

## 1095 Cervical Vestibular Evoked Myogenic Potentials (cVEMPs)

### 1096 **Definition**

1097 Cervical vestibular evoked myogenic potentials (or cVEMPs) are one of two tests that can be 1098 used to measure the function of the otoliths (the organs most sensitive to linear acceleration). It 1099 is a clinically useful tool that can be included as part of a test battery for assessing vestibular 1100 function.

# 11011102 Scientific Basis

The cVEMP is a short-latency myogenic response which is evoked by air-conducted sound (ACS), or bone-conducted vibration (BCV), originating in the saccule and recorded on the ipsilateral contracted sternocleidomastoid (SCM) muscle. The response is a biphasic positivenegative waveform at approximately 13 and 23 ms respectively and reflects the release from contraction of the SCM (Colebatch, Halmagyi, & Skuse, 1994; Papathanasiou, Murofushi, Akin, & Colebatch, 2014). If the integrity of the vestibular pathway is compromised, this response is reduced or absent (Colebatch et al., 1994).

1109

### Air Conduction Sound versus Bone Conducted Vibration

1112 cVEMP stimuli can be delivered by bone-conduction vibration (BCV) or high-intensity air-

1113 conducted sound (ACS). Typically, ACS is used; however, BCV is preferred if conductive

- 1114 hearing loss is indicated.
- 1115

#### 1116 **Procedure**

1117 A cVEMP involves measuring electromyography (EMG) activity from surface electrodes placed 1118 on the SCM muscles. The active electrodes are placed on the SCM muscles (the midpoint to

- 1119 upper third of the muscle) and reference and ground electrodes are placed on the sternum and
- forehead (or upper chest) respectively (Papathanasiou et al., 2014). The response is measured
- 1121 on the muscle ipsilateral to the stimulated ear. The response is dependent on the strength of the
- 1122 SCM muscle activity; therefore, EMG activity must be monitored (Colebatch et al., 1994).
- 1123 Usually, a patient lifts and/or rotates their head to the opposite side of the stimulated ear;
- however, other methods of activating the SCM are possible. BCV at the midline evokes bilateral
  responses and should be recorded bilaterally. If rotation is used, then recordings must be
  unilateral; the muscle contralateral to the stimulated ear will be relaxed.
- A 500 Hz tone burst at a rate of 5 bursts per second is presented for a minimum of 100 sweeps
- 1128 at a high but safe intensity (90–105 dB nHL/120–135 dB SPL for ACS). Though clicks can be
- used, a tone burst of 500 Hz is more effective (Rosengren, Welgampola, & Colebatch, 2010;
- 1130 Isaradisaikul, Navacharoen, Hanprasertpong, & Kangsanarak, 2012). Frequency tuning can
- differ in patients over 60 years old or those with Ménière's Disease, and in these situations, a better response may be obtained with a higher frequency (Papathanasiou et al., 2014; Janky &
- 1133 Shepard, 2009). Testing should then be performed at a lower intensity (approximately 70 dB
- 1134 nHL/100 dB SPL) to screen for an abnormally low threshold. If a response is obtained at 100 dB
- 1135 SPL, then testing should be performed in decreasing 5–10 dB steps until it is no longer present.
- 1136 Waveforms should be repeated at least once to verify response presence. EMG activity must be
- 1137 monitored as the larger the muscle contraction, the larger the response amplitude.
- 1138
- 1139 The first major positive peak on the ipsilateral recordings should be marked as p13 (or p1) and
- the following negative peak as n23 (or n1). The amplitude of the response is the p13 to n23 peak-to-peak amplitude.

### 1142 Interpretation

A number of parameters are measured for the cVEMP response and different clinics may use different measures. The commonly used measures include presence of a response, amplitude asymmetry ratio, and threshold. Note that response amplitudes and latencies are affected by the stimulus parameters; the normative data used must be appropriate for the parameters used. Asymmetrical electrode placement is the most common cause of p13 interaural latency differences; however, if the electrodes are evenly placed on both SCMs, retrolabyrinthine disorders can prolong latency. Absolute p13 latency and absolute p13 amplitude are of limited

- value due to the variance from patient to patient and its dependence on stimulus parameters (Meyer, Vinck, & Heinze, 2015; Blakley & Wong, 2015).
- 1152

### 1153 **Presence/Absence of Response**

1154 The absence of a response suggests a pathology in the sacculo-collic pathway, assuming there 1155 is no conductive hearing loss (Colebatch et al., 1994; Murofushi, Matsuzaki, & Mizuno, 1998; 1156 Welgampola & Colebatch, 2005). A response can be obtained in patients with profound

- sensorineural hearing loss (Colebatch et al., 1994). Because a response cannot be elicited in
- some healthy older subjects, the absence of responses bilaterally is only considered
- pathological in patients under the age of 60 (Murofushi & Kaga, 2009; Meyer et al., 2015).
- However, a unilateral response in older subjects is considered pathological.
- 1161 1162

#### 1163 Amplitude Asymmetry Ratio

- This is calculated using Jongkees' formula: 1164 •
- 1165
  - $AR = (largest smallest) \times 100$
  - (largest + smallest)
- The amplitude used for the formula above must be corrected for EMG activity. 1167 •
- Typically, an asymmetry ratio over 32–42% is considered abnormal (Papathanasiou et 1168 • 1169 al., 2014; Blakley & Wong, 2015). 1170

#### 1171 Threshold

1166

- A threshold at or below 65–70 dB nHL (95–100 dB SPL) is suggestive of a third window in the 1172
- vestibular organ, usually a dehiscence in the superior semicircular canal (Papathanasiou et al., 1173
- 1174 2014; Welgampola, Myrie, Minor, & Carey, 2008).

#### 1175 **Clinical Use**

- The cVEMP is useful in various peripheral and central nervous system disorders. It can be used 1176 to assess the integrity of the sacculo-collic pathway, as well as the possibility of an otic capsule 1177 1178 dehiscence.
- 1179

#### Integrity of the Sacculo-Collic Pathway 1180

- The pathway is as follows: 1181
- 1182 1183 Saccule  $\rightarrow$  Inferior vestibular nerve  $\rightarrow$  Vestibular nuclei  $\rightarrow$
- Medial vestibulospinal tract  $\rightarrow$  Accessory nucleus and nerve (CN XI)  $\rightarrow$ 1184
- Sternocleidomastoid (SCM) muscle 1185
- 1186 1187
- A reduced or absent response could suggest a pathology in the: 1188
  - saccule (e.g., labyrinthitis, Ménière's, BPPV);
  - inferior vestibular nerve (e.g., schwannoma, neuritis); and/or •
  - brainstem (e.g., stroke, lesion, multiple sclerosis). •
- 1190 1191

1208

1189

The cVEMP can also be used to distinguish between superior, inferior, and total vestibular 1192 nerve pathology (in combination with ocular VEMP [oVEMP] testing, calorics, and the video 1193 1194 head impulse test; vHIT). Because the oVEMP pathway involves the superior vestibular nerve 1195 and cVEMP involves the inferior vestibular nerve, a present response in one test and absent 1196 response in the other can determine the site of lesion. It may also help identify the stage of Ménière's disease, as the augmented cVEMP amplitude is sometimes seen in the initial stages 1197 of Ménière's, but a reduced or absent response is obtained as it progresses (Young, 2013). 1198 1199

#### 1200 Canal Dehiscence Syndrome

This condition is caused by the thinning or absence of part of the temporal bone overlying a 1201 semicircular canal-typically the superior canal, or a third window elsewhere in the vestibular 1202 apparatus. In these cases, cVEMP amplitudes are typically larger than usual and the response 1203 1204 is present at a lower intensity level. 1205

#### When to Test 1206

- 1207 In the following situations, cVEMPs should be part of the test battery:
  - The patient reports symptoms of otolithic dysfunction (tilting, rocking, pushing, or pulling)
- The audiogram suggests conductive hearing loss but acoustic reflexes are present. 1209
- Patient symptoms include Tullio, autophony (self-generated sounds, such as breathing, 1210 • heartbeat, eye movements, or the patient's own speech, are heard unusually loudly in 1211 1212 the affected ear), oscillopsia, or pulsatile tinnitus.

#### 1213 Contraindications

The cVEMP response can be absent or its amplitude reduced in the presence of even a small conductive hearing loss (when ACS is used), and therefore a formal audiogram should be used to assess the patient's hearing in such cases. A normal cVEMP does not negate the need for an audiogram as occasionally otosclerosis and superior canal dehiscence (SCD) are present in the same ear: the otosclerosis attenuates the sound reaching the ear and the SCD causes a higher amplitude response to that attenuated sound. The result is what appears to be a normal cVEMP.

- 1221
- As the cVEMP requires a contracted SCM, patients unable to elevate and/or rotate their head may not be able to perform the test.

#### 1224 Limitations

Except in cases of a canal dehiscence, cVEMP findings alone are non-specific; they simply indicate reduced function.

1227

As described above in the interpretation section, bilaterally absent responses are not always

- 1229 considered pathological in patients over the age of 60.
- 1230

#### 1231 Ocular Vestibular Evoked Myogenic Potentials (oVEMPs)

#### 1232 **Definition**

1233 The second test that can be used to measure otolithic function is the oVEMP. It is a clinically

1234 useful tool that can be included as part of a test battery for assessing vestibular function. 1235

#### 1236 Scientific Basis

1237 When vibration is applied at Fz in humans, approximately equal amplitude linear acceleration is delivered to both mastoids (Curthoys, Manzari, Smulders, & Burgess, 2009; Iwasaki et al., 1238 2008a), resulting in small short-latency negative (excitatory) ocular myogenic potentials beneath 1239 the eyes. The first of these is called n10 (appears at approximately 10 ms), and it has a larger 1240 amplitude when the patient looks up (lwasaki et al., 2008a). The n10 is a crossed otolith-ocular 1241 1242 response; i.e., it is measured under the contralateral eye, and reflects the activation of the inferior oblique and possibly the inferior rectus (Weber et al., 2008; Curthoys et al., 2011). 1243 However, after a unilateral vestibular loss, the n10 under the contralateral eve is absent or has 1244 1245 reduced amplitude (Iwasaki et al., 2008b).

1246

There is some evidence that oVEMPs originate predominantly in the utricle (Curthoys, Vulovic,
and Manzari, 2012; Curthoys, 2010; Curthoys, et al., 2011; Manzari, Burgess, and Curthoys,
whereas other findings argue the response may include a small contribution from the
saccule, originating from both the utricle and the saccule (Papathanasiou, 2012; Welgampola et
al., 2008).

#### 1252 Bone Conducted Vibration versus Air Conducted Sound

oVEMP stimuli can be delivered by BCV or high-intensity (95–97 dB nHL/125–127 dB SPL)
ACS. These two methods work in different ways. BCV at Fz causes waves to travel around and
through the head and these waves result in linear acceleration that is approximately equal at
both mastoids (Yang, Liu, Wang, and Young, 2010; Iwasaki et al., 2008a). However, ACS
moves the stapes, which in turn causes endolymph movement (Curthoys & Vulovic, 2011).

Bone-conduction is generally preferable to air-conduction for oVEMP if utricular or superior vestibular nerve pathology is suspected. The results obtained with BCV are both more reliable and of greater amplitude than with ACS (Cheng, Chen, Wang, & Young, 2009; Chihara, Iwasaki, Ushio, & Murofushi, 2007; Wang, Weng, Jaw, & Young, 2010). However, ACS is effective in diagnosing a canal dehiscence (Zuniga, Janky, Nguyen, Welgampola, & Carey, 2013; Janky, Nguyen, Welgampola, Zuniga, & Carey, 2013).

#### 1266 **Procedure**

As with cVEMPS, there are different methods of recording oVEMPs, Typically, an active 1267 1268 electrode is positioned on the skin directly underneath the eye contralateral to the side that is presented with the stimulus. Reference and ground electrodes are also placed, generally further 1269 1270 down the face or on the nose and on the sternum, respectively. The stimulus (ACS or BCV) is 1271 then presented using a 250–500 Hz tone burst at a rate of 3 to 5 cycles per second. (Chang, Cheng, Wang, & Young, 2010; Curthoys et al., 2009; Chihara et al., 2009; Sandhu, George, & 1272 1273 Rea, 2013). The patient must look straight up at approximately 30° in order to bring the inferior 1274 oblique muscle closer to the surface of the skin.

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In patients over the age of 60 or with suspected Ménière's disease, 1000 Hz may be used as
 the frequency tuning characteristics (Sandhu, Low, Rea, & Saunders, 2012; Piker, Jacobson,

Burkard, McCaslin, & Hood, 2013). If a canal dehiscence is suspected, testing at 4000 Hz may be warranted (Manzari, 2013). The peak found at approximately 10 ms should be labeled.

#### 1280 Interpretation

1281 The measures currently used to determine if an oVEMP is normal or not are presence of a 1282 response, amplitude asymmetry ratio, and absolute amplitude.

#### 1284 **Presence/Absence of Response**

- lwasaki et al. (2008a) found that with BCV, responses could be elicited in all normal subjects (n=67). No response was elicited in subjects (n=5) with bilateral vestibular loss (no response on cVEMP and ice calorics).
- Yang et al. (2010) performed BCV oVEMP testing on healthy guinea pigs and guinea pigs treated with gentamicin and found a significant difference in the rates of the response. Only 30% (3 of 10) of gentamicin-injected ears had an oVEMP but 100% of saline-injected ears had a response.

#### 1292 1293 Amplitude Asymmetry Ratio

• This is calculated using Jongkees' formula:

AR = (largest - smallest) X 100(largest + smallest)

- lwasaki et al. (2008b) found that 11 patients with vestibular loss had mean AR of 75.03%
   +/- 16.32. No normal subjects (n=67) had an AR over 40% and all the patients with
   unilateral vestibular loss had an AR over 50%.
  - Iwasaki et al. (2008a) found that 64 healthy subjects all had ARs < 40%; average AR was 11.73% +/- 8.26.</li>

#### 1304 Absolute Amplitude

Zuniga et al. (2013), Janky et al. (2013), and Manzari, Burgess, McGarvie, and Curthoys (2012) found that oVEMP absolute amplitude was a more sensitive and specific tool than ACS cVEMPs in the diagnosis of superior canal dehiscence. These studies concluded

- that an n10 amplitude over 8.25–9.3 uV or a peak-to-peak amplitude over 17.1 uV were
- appropriate cut-off values when using ACS and an amplitude over 10 uV was
   appropriate when using BCV. Note that the cut-off values will be affected by stimulus
- 1310 appropriate when using BCV. Note that the cut-oil values will be affected to 1311 parameters and electrode placement.

#### 1312 Clinical Use

1313 There are two main uses of the oVEMP: to assess the integrity of the utriculo-ocular pathway,

1314 and to assess the likelihood of a canal dehiscence.1315

#### 1316 Integrity of the utriculo-ocular pathway

1317 The pathway is as follows:

- 1318
- 1319 Utricle  $\rightarrow$  Superior vestibular nerve  $\rightarrow$  Vestibular nuclei  $\rightarrow$ 1320 Medial Longitudinal Fasciculus (MLF)  $\rightarrow$  Oculomotor nerve  $\rightarrow$ 
  - Medial Longitudinal Fasciculus (MLF)  $\rightarrow$  Oculomotor nerve  $\rightarrow$  Contralateral Inferior Obligue
- 1321 1322

1324

1325

1326 1327

- 1323 A reduced or absent response could suggest a pathology in the:
  - utricle (e.g., labyrinthitis, Ménière's, recurrent BPPV);
  - superior vestibular nerve (e.g., schwannoma, neuritis); or
  - brainstem (e.g., stroke, lesion, multiple sclerosis).

#### 1328 Canal Dehiscence

1329 This condition is caused by the thinning or absence of part of the temporal bone overlying a 1330 semicircular canal, typically the superior canal. In this case, ACS is an effective stimulus, using 1331 absolute amplitude as the measure (as described above).

# 13321333 When to Test

1334 Particularly in the following situations, oVEMPs should be part of the standard test battery:

- The patient reports symptoms of otolithic dysfunction (tilting, rocking, pushing, or pulling).
- The audiogram suggests conductive hearing loss but acoustic reflexes are present.
- Patient symptoms include Tullio (sound- or pressure-induced dizziness), autophony
   (self-generated sounds, such as breathing, heartbeat, eye movements, or the patient's
   own speech, are heard unusually loudly in the affected ear), oscillopsia, or pulsatile
   tinnitus.

#### 1342 **Contraindications**

ACS VEMP responses rely on the vibrations from the stimulus being sufficient to cause

- 1344 endolymph movement. As a result, ACS VEMPs will appear to be absent in patients with
- 1345 conductive hearing loss. Therefore, ACS VEMP results can only be interpreted accurately when
- there is an accompanying audiogram. Conversely, it is possible for patients with profound
- 1347 sensorineural hearing loss to have present VEMP responses, provided that the superior
- 1348 vestibular nerve and utricle are not damaged.

#### 1349 Limitations

1350 The oVEMP is currently quite a new test. As a result, a consensus has not been reached

- regarding optimal parameters, stimuli, and electrode placements. Given the variability across
- 1352 clinical sites, each clinic should ideally consider collecting its own normative data. Alternatively,
- 1353 normative data may be used only when the protocol being used matches that of the site where
- 1354 the norms were drawn from.

## 1355 Video Head Impulse Test (vHIT)

#### 1356 **Definition**

1357 The video head impulse test (vHIT) is used to detect reduced function of the vestibulo-ocular 1358 reflex (VOR) quickly and non-invasively. A relatively new addition to the vestibular test battery, it 1359 has quickly gained respect as an important tool for vestibular assessment.

1360

1361 The purpose of the VOR is to maintain a stable visual image when the head is rotated. When a 1362 head rotation occurs, the VOR generates an equivalent eye movement in the opposite direction 1363 to preserve a stable foveal image (Roy & Tomlinson, 2004). The accuracy of the oculomotor command from the VOR depends on the fidelity of signal received from the semicircular canals, 1364 which detect angular acceleration. When an impairment is present, the eye movements 1365 1366 generated by the VOR will not properly account for the head rotation that took place and retinal slip will occur. A corrective "catch-up" saccade is required to re-fixate the eye back on the 1367 1368 target. Patients with reduced VOR function may report oscillopsia.

1369

1370 In 1988, Halmagyi and Curthoys were the first to infer from the presence of catch-up saccades

that peripheral vestibular dysfunction was likely on the side(s) with the saccades. For the

bedside head impulse test, patients were asked to stare at a target while their heads were
 rotated by in small but abrupt movements. The patients' eyes were then observed for catch-up
 saccades.

1375

Observable catch-up saccades, known as "overt" saccades, occur after a head movement
towards an impaired side. However, some patients generate corrective eye movements during
the head movement. These eye movements are known as "covert" saccades; when covert
saccades are present, vestibular deficits will typically be missed unless specialized equipment
(e.g., vHIT) is used.

1381

The vHIT allows examiners to observe the differences between the eye and the head (either in terms of velocity or position, depending on the calculation strategy used) during head rotations in order to identify VOR deficits. It is possible to use vHIT to test the horizontal semicircular canals as well as the vertical semicircular canals.

#### 1386 1387 **Procedure**

Prior to using vHIT equipment, potential examiners are encouraged to carefully learn the individual guidelines specific to the purchased equipment. The major manufacturers have YouTube channels, which are helpful for learning the proper technique to ensure that the correct canals are being stimulated.

1392

Along with an accelerometer/gyroscope for measuring head movements, vHIT testing is
 performed using lightweight goggles equipped with high-speed cameras (250 Hz is typical) for
 capturing eye movements.

1396

1397 The goggle straps should be adjusted to ensure a snug fit before the test begins. The examiner 1398 should check that the goggles are secure enough that they stay in place when gently wiggled.

1399 Eyeglasses must be removed as they cannot be worn with the goggles; contact lenses do not

1400 have to be removed. The patient should sit in a chair positioned at least 1 metre from the target.

1401 A calibration should be performed whenever possible to reduce variability of the data.

1402 The patient should be asked to stare at a target placed approximately at eye level. The

1403 examiner should encourage the patient to relax their neck, not to anticipate movements, and to

- avoid blinking. It is essential that the examiner monitor the software throughout the test to
  ensure that the software algorithms are accurately tracking the pupil throughout the test and
  adjust the pupil threshold to minimize noise. In some scenarios (e.g., if eye movements become
  cut off or the recording is too noisy and adjusting the threshold does not help), the goggles may
- 1407 cut on of the recording is 1408 have to be repositioned.
- 1409

#### 1410 Horizontal Canals

- Standing behind the patient, the examiner must present small (10–20°) but abrupt head impulses in both lateral directions, making sure to randomize the direction of the head impulses so that they are unpredictable for the patient. The examiner's hands should be firmly in place on top of the patient's head (or, alternatively, firmly cradling the patient's jaw line). The examiner must avoid touching the goggle straps, as this can introduce artifact in the recording. To maximize the diagnostic utility of the test and the ease of interpretation, head impulses should be presented at a range of velocities whenever possible. The majority of the head
- 1416 170 maximize the diagnostic utility of the test and the ease of interpretation, head impulses 1417 should be presented at a range of velocities whenever possible. The majority of the head 1418 impulses in the final tracings should exceed 150 deg/s to ensure that contributions from the 1419 oculomotor system are minimized (Meyer, Lasker, & Robinson, 1985).
- 1420
- At minimum, 20 head impulses should be completed and accepted by the software in each direction. However, having more head impulses (30 to 40) per side allows the examiner to
- 1422 ensure that a range of velocities is obtained and that the guality of the final tracings (after
- 1424 discarding unusable data) is optimal.

# 14251426 Vertical Canals

- To test the paired left anterior/right posterior canals (LARP), the patient's head should be 1427 rotated 45° to the right of the midline. For the right anterior/left posterior canals (RALP), the 1428 rotation is 45° to the left. In both scenarios, gaze should continue to be directed toward the 1429 centre dot: gaze must be maintained in the plane of the canals being stimulated to minimize 1430 contributions from torsional and/or horizontal eye movements (Migliaccio & Cremer, 2011). To 1431 1432 ensure that eye movements are tracked appropriately, a new region of interest may have to be 1433 manually selected by the examiner before proceeding with the test. If the patient has a limited range of head rotation, the patient's chair can be repositioned so that the patient's entire body 1434 faces 45° from the midline (as before, gaze should be directed toward the midline). 1435
- 1436
- 1437 Most commercially available systems have features embedded in their software that help 1438 clinicians to orient the head correctly so that the canals are maximally stimulated. Product 1439 manuals should be consulted for specific procedures, as they may vary slightly depending on 1440 the system being used.
- 1441
- Once the patient has been positioned correctly, the examiner should place one hand firmly on the top of the patient's head with fingers pointed towards the fixation dot. The other hand should be positioned under the patient's chin. The examiner should then use their top hand to quickly move the hand towards and away from the fixation dot in abrupt and unpredictable movements (this will involve tiling the top of the patient's head towards and away from the fixation dot). The patient should maintain gaze on target (though, due to the awkward gaze angle, the patient may require breaks during the test
- 1449
- 1450 The canal that is stimulated depends on the gaze angle and the rotation. The anterior canals 1451 are stimulated when the chin moves downwards, while the posterior canals are stimulated when 1452 the chin moved upwards.
- 1453

#### 1454 Interpretation

Following the vHIT test, the individual tracings are analyzed. Each of the six canals is evaluated for the presence of vestibular dysfunction based on two key parameters: gain and corrective saccades. Neither of these parameters should be used in isolation—proper vHIT interpretation

1458 requires careful evaluation of the overall picture illustrated by the tracings.

- 1459 Though the basics of vHIT assessment can be learned easily, it takes time and perseverance to
- 1460 master vHIT interpretation; it should be noted that some of the complexities of vHIT
- interpretation fall outside of the scope of this document. Furthermore, as the vHIT is still a newer test, new research is continually emerging to inform practice.

#### 1463

#### 1464 **Gain**

As previously mentioned, when a patient with normal VOR function's head is rotated, the VOR will generate eye movements that are equal in size but in the opposite direction. The comparison of the movements of the eyes and the head results in a measurement known as "gain." When function is normal, the gain (eyes vs. head) will be very close to 1 when measured.

- However, when VOR loss is present, the eye movement generated by the VOR will not be
- 1470 proportional to the head movement, resulting in reduced gain (norms may vary across systems;
- typically <0.8 is abnormal for horizontal canals, <0.7 for LARP/RALP).
- 1472

1473 There is more than one approach that can be used for calculating gain. Regardless of the 1474 approach used, it is important to keep in mind that results can never be evaluated based on 1475 gain values alone. For example, covert saccades are fast eye movements that occur during the 1476 head movement. Covert saccades can interfere with the gain calculation, making the gain 1477 artificially high so that it appears within the normal range of function. In these cases, a closer 1478 examination of the individual tracings will often clearly show the initial discrepancy between the

- 1479 eye and the head, followed by the covert saccade (a clear indication of VOR loss).
- 1480

1481 It is common for vHIT results to include a gain graph, which displays gain across the velocities 1482 tested. Typically, each head impulse that was completed is represented as a data point on the 1483 graph, and each one is colour-coded according to the canal that was stimulated. The gain graph 1484 allows you to observe reductions in gain at a glance. Typically, a separate gain graph will be 1485 displayed for each of the paired canals (horizontal, LARP, RALP).

1486

Another common display for vHIT results will show velocity across time for all of the head impulses that were completed; typically, there is a separate velocity graph for each of the six canals. On this display, the tracings for the head and the eye are usually overlaid so that discrepancies between the eye and head, as well as corrective saccades, stand out. This display may be provided in a 2D or 3D format.

#### 1493 Corrective saccades

In addition to the gain analysis, the individual tracings should be evaluated for the presence of
 corrective saccades. On the velocity graph, corrective saccades appear as very brief, high velocity "spikes."

1497

The velocity graph of each of the canals stimulated should be evaluated separately by the examiner. Since covert saccades occur while the head is still moving, they will appear before the head "stops" (reaches the zero point) after a head impulse on the velocity display. Overt saccades will occur after the head stops.

1502

- 1503 It is helpful to take note of consistent patterns of corrective eye movements in the tracings: 1504 when true VOR loss is present, corrective saccades will have a significant velocity and will 1505 appear consistently in the tracings. Significantly reduced gains with no corrective saccades 1506 usually indicate a calibration problem (or incorrect gaze angle for LARP/RALP), while careful
- review of tracings that show corrective saccades but normal gains will usually reveal a VOR loss.
- 1510 The distribution of corrective saccades (i.e., covert vs. overt) is difficult to predict and varies 1511 widely, even among patients with similar pathology (Blödow, Pannasch, & Walther, 2013).
- 1512

### 1513 Clinical Use

1514 Results from vHIT can be used to identify VOR loss. VOR loss may be unilateral or bilateral, 1515 and may be isolated to certain canals. The pattern of dysfunction can be used as a tool to 1516 identify the site(s) of lesion in some cases. For example, patients with vestibular neuritis of the 1517 inferior vestibular nerve will have VOR loss that is isolated to the posterior canal (Macdougall, 1518 McGarvie, Halmagyi, Curthoys, & Weber, 2013). While abnormal vHIT findings normally indicate 1519 peripheral dysfunction, unusual patterns of vHIT results that are not consistent with an end-1520 organ lesion may warrant further testing to rule out central causes (Zuma e Maia & Luis, 2015).

1521

1522 The results from vHIT can be used to identify patients who would benefit from vestibular

rehabilitation. Patients with VOR loss, particularly those with unilateral or partial bilateral

vestibular loss, have been shown to benefit from prescribed exercises designed to promote

- 1525 central vestibular compensation (Enticott, O'Leary, & Briggs, 2005).
- 1526 1527

### 1528 Contraindications

1529 If the case history reveals a history of neck trauma or immobility, extra care should be taken to 1530 ensure that the test can be attempted safely. If the patient has a very narrow range of motion or 1531 reports pain from neck rotation, medical clearance should be obtained before the examination is 1532 attempted.

1533

1534 Some vHIT equipment has a single camera fixed over one eye; unless the camera can be 1535 switched between the eyes, patients with no vision on the same side as the camera are not 1536 candidates for the test.

1536 candi 1537

### 1538 Limitations/Troubleshooting

Excessive blinking may partially or completely impede the examiner's ability to interpret a vHIT examination. The tracings of heavy blinkers may be noisy and/or show overt saccades as the patient re-fixates from the blink. Inexperienced examiners may misattribute a blink as being a covert saccade. An overt saccade that follows a clear blink in a tracing should not be attributed to VOR loss. Excessive blinking can often be sufficiently reduced by re-instructing the patient. If that is unsuccessful, gently taping the evelids open will generally solve the problem.

- 1545
- 1546 The tracings that result from the vHIT may contain overlay from eye movements that are not 1547 corrective saccades (e.g., congenital nystagmus). Without careful analysis, the resultant 1548 tracings may be misidentified as being abnormal.
- 1549

1550 The patient must be able to see the target ahead of them. Patients with severe visual 1551 impairments (even while wearing corrective lenses) may not have reliable test results.

- Young children can be challenging to assess with vHIT due to their physical size and difficulty with attention. If pediatric goggle straps are unavailable, modifications can be made on-site. A video clip played from a smartphone screen may be used as a substitute for a static target when the patient is unable to maintain focus on a static target.
- 1556

1557 A very common cause of indeterminate vHIT results is from "goggle slip." Goggle slip usually occurs when the goggles are too loose or are a poor fit. The nose holds the goggles in place: 1558 therefore, if the patient has a low nasal bridge, the goggles may not sit on the patient's 1559 1560 cheekbones and/or the nasal bridge. The resultant tracings will show eye movements that are faster than, and occur before, the head movements. Similar tracings may be obtained when the 1561 patient's hair contains hairspray or other hair products; hair with a lot of hairspray in it may move 1562 independently from the head, which may also result in artifact. It is essential that examiners 1563 1564 become skilled at identifying these artifacts and differentiating them from actual pathology. 1565 When testing the horizontal canals, changing grip so that your hands cradle the patient's jaw line may reduce the extent of the artifact from goggle slip. 1566

1567

1568 It is difficult to completely isolate the canals with vHIT, given the paired nature of the

- semicircular canals. The oculomotor command that results from a given head impulse is
- determined by both excitatory (ipsilateral to the head impulse) and inhibitory (contralateral to the
- 1571 head impulse) inputs. As a result, the contralateral side will have some influence on the
- ipsilateral tracings, except at very fast head impulses wherein the inhibitory inputs from the contralateral side are saturated (Weber et al., 2008). This may result in significant unilateral
- 1574 VOR loss being misidentified as bilateral vestibular loss.

## 1575 Subjective Visual Vertical (SVV) Test

### 1576 **Definition**

The subjective visual vertical (SVV) test is designed to evaluate a patient's ability to accurately identify "true vertical" without the help of a visual reference. The perception of a significant tilt from true vertical is a sensitive indicator of an acute unilateral vestibular lesion (mostly utricular) (Böhmer & Mast, 1999) or a central vestibular lesion affecting the gravitoceptive pathways (Dieterich & Brandt, 1993).

1582 1583 **Procedure** 

Until recently, SVV test administration was restricted to testing centres with expensive, specialized equipment and skilled administrators (Zwergal, Rettinger, Frenzel, Dieterich, Brandt, & Strupp, 2009). Inexpensive alternatives have since been shown to have equivalent diagnostic accuracy: the "bucket method" can be easily produced with very inexpensive and readily available materials (Zwergal et al., 2009). Detailed instructions are available online (Cook, 2010). Smartphone-based approaches are also in development (Brodsky, Cusick, Kawai, Kenna, & Zhou, 2015).

1591

For the bucket method, a bucket is made completely dark inside except for a thin strip of glowin-the-dark tape, which becomes the vertical line. The line inside the bucket is aligned with a piece of weighted string, which is housed outside of the bucket, originating from the middle of the bucket. A protractor, with its zero point aligned with the weighted string, is also affixed to the outside of the bucket (Cook, 2010).

- 1597
- 1598 The examiner should start with the line in the bucket at a random alignment (either clockwise or 1599 counter-clockwise from vertical) and should ask the patient to look into the bucket such that the
- 1600 interior fills their entire visual field. The examiner should then move the bucket towards vertical
- in small intervals, asking the patient to indicate when they perceive the line to be at true vertical.
- 1602 The value on the protractor, which represents degrees from true vertical, should then be
- recorded. It is recommended that the same procedure be repeated for a total of 10 attempts,
- varying clockwise and counter-clockwise (Zwergal et al., 2009).

### 1606 Interpretation

- 1607 The average of the 10 trials should be calculated to determine the patient's degree of tilt from 1608 true vertical. The normal range may vary depending on the method used, but is typically  $0 \pm$
- 1609 2.5°. Patients with values exceeding 2.5° should be flagged for further investigation.
- 1610

### 1611 Clinical Use

- 1612 The SVV test can be used to detect lesions along the vestibular pathway. A detailed overview of
- 1613 the typical SVV findings for various pathologies falls outside of the scope of this document. For
- those hoping to learn more, the article by Zwergal et al. (2009) is an excellent starting point.
- 1615
- 1616 An ENT consultation should be recommended when the SVV test results exceed normal limits,
- 1617 due to the likelihood of central pathology. Peripheral lesions will usually normalize within
- approximately 20 days (Zwergal et al., 2009); with the exception of vestibular labs that test
- 1619 patients who are experiencing acute vertigo, the majority of abnormal findings on SVV will have
- 1620 origins in the central vestibular pathways. 1621

### 1622 Limitations

1623 Abnormal findings are generally non-specific. Further investigations are needed to determine 1624 the site of lesion.

### 1625 Computerized Dynamic Posturography (CDP)

### 1626 **Definition**

1627 Computerized dynamic posturography (CDP) is an objective quantitative method for assessing
upright and in-place balance function under a variety of tasks that simulates the conditions
encountered in daily life. CDP can identify and differentiate among a variety of possible sensory,
motor, and central adaptive impairments to balance control. Although CDP cannot diagnose
pathology or site of lesion, it is a functional test which is complementary to clinical tests such as
videonystagmography (VNG) or rotary chair that help localize and categorize pathological
mechanisms of balance disorders.

# 16341635 **Procedure**

1636 There are several subtests that can be performed with posturography testing, including sensory 1637 organization testing (SOT), motor control testing (MCT), and adaptation testing (ADT). 1638 Throughout all subtests, the patient wears a harness to ensure safety. Once the patient is facing 1639 the surround, the straps of the harness are connected to the machine so that they are parallel to

- the ground on the patient's shoulder. The harness should fit comfortably without being too loose
- 1641 or too tight. During testing, the patient stands on a moveable, dual force plate support surface
- within a moveable surround or enclosure. The patient's feet should be aligned to the platform they are standing on according to their measured height (small = 114–140 cm. medium = 141–
- 1643 They are standing on according to their measured height (small = 114-140 cm, medium = 141-1644165 cm, and large = 166-203 cm). The medial malleolus and the lateral calcaneous are aligned
- 1645 on the marking stripe that transects the two force plates. The feet should be aligned throughout
- the testing. If the feet move out of place, testing should be stopped and resumed after realignment.

### 1648 Sensory Organization Test

1649 The SOT tests the ability of an individual to effectively process individual sensory system input 1650 cues to maintain balance control. This is done by suppressing inaccurate sensory system inputs while selecting appropriately from other, more accurate sensory cues to generate appropriate 1651 motor and postural response strategies. The SOT test assesses this ability, objectively isolating 1652 1653 and quantifying the use of each sensory system and the adaptive (or maladaptive) responses of 1654 the central nervous system. This is done by taking away sensory input (eyes closed) or making sensory input inaccurate (by having the force plate or enclosure sway referenced). Body sway is 1655 calculated by measuring the centres of vertical force movements in six different conditions (see 1656 Table 7). Three trials of each condition are performed. If the patient stumbles, reaches out for 1657 support, or falls, then this should be marked as a fall. Other measures calculated during SOT 1658 are centre of gravity alignment, equilibrium scores, and strategy analysis (hip versus ankle). 1659 1660

- Centre of gravity (COG) alignment: Reflects the position of the COG relative to the centre of foot support and is measured in degrees.
- **Equilibrium score**: Compares the maximum patient anteroposterior sway angle to the patient's theoretical limits of stability. This is calculated for each trial. If the score is near 100% it indicates little sway and if it is near 0% it indicates the sway is nearing the limits of stability.
- Strategy Analysis: The use of movement about the ankle, hips, and upper body to
   maintain balance during the SOT is reflected in the strategy scores. Strategy scores are
   calculated by comparing the peak-to-peak amplitude of the shear oscillation to the
   maximum possible shear of 11.4 kg. Scores near 100% indicates little shear (full ankle
   strategy) while scores near 0% indicate maximum shear (full hip strategy).

### 1673 Motor Control Test

Automatic postural reactions are the primary source for balance correction responses after an unexpected perturbation or surface change because it is the earliest response helping to recentre the body's centre of gravity over the base of support when standing. This test is independent of conscious control. The responses occur within 90 to 100 millis econds in coordinated patterns. The force plate is moved suddenly with three trials per condition (small, medium, and large horizontal perturbations) in the forward and backward direction. Weight symmetry, latency, and amplitude scaling are measured.

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### 1682 Table 7: The SOT Six Sensory Conditions

Condition	Vision	Surface	Disadvantaged	Using
1	eyes open	fixed	none	somatosensory
2	eyes closed	fixed	vision	somatosensory
3	sway referenced visual	fixed	vision	somatosensory
4	eyes open	sway referenced surface	somatosensory	vision
5	eyes closed	sway referenced surface	somatosensory & vision	vestibular

6	sway ref. visual	sway referenced surface	somatosensory & vision	vestibular
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### 1684 Adaptation Test

1685 This test assesses the patient's ability to adapt to disruptive somatosensory input caused by 1686 unexpected changes in the orientation of the support surface. The test involves five randomized 1687 trials of the force plate moving at 20 deg/s with a toes-up and toes-down condition. The 1688 response is measured by the force (sway energy) produced during the first two seconds. The 1689 appropriate patient response is to remain upright, using enough force to return the body to 1690 upright and to dampen or decrease the amount of energy required with each subsequent trial.

1691

1683

### 1692 Interpretation & Clinical Use

The sensory analysis of the SOT compares the equilibrium scores among the six sensory conditions. The sensory analysis ratios result in patterns which describe a patient's ability to effectively use sensory system cues for balance control which can provide an explanation for a patient's functional complaints and the basis for specific treatment decisions (see Table 8). It is important to note that caloric testing can identify and lateralize a lesion but cannot determine the level of functional compensation.

1699

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1705

## Functional Impact of Sensory Dysfunction in SOT Vestibular Dysfunction Pattern: Patients per

- Vestibular Dysfunction Pattern: Patients perform normally on firm support surfaces and/or in the presence of strong visual cues. They will typically experience instability on irregular surfaces, in low light conditions, and in active visual environments.
- **Visual and Vestibular Dysfunction Pattern:** Patients are somatosensory dependent and require a stable support surface reference to maintain balance. Without a stable surface they do not make effective use of either vestibular or visual inputs.
- 1706 1707

Over-Dependence On	Problem SOT Conditions	Analysis Ratio
Visual & Somatosensory	5, 6	5/1
Somatosensory	4, 5, 6	(4/1) + (5/1)
Vision	2, 3, 5, 6	(2/1) + (5/1)
Vision	3, 6	(3+6) / (2+5)
Vision	3, 5, 6	(5/1) + ((3+6)/(2+5
	On Visual & Somatosensory Somatosensory Vision Vision	OnConditionsVisual & Somatosensory5, 6Somatosensory4, 5, 6Vision2, 3, 5, 6Vision3, 6

### 1708 Table 8: Sensory Organization Test Interpretation

1709

1712

1710 1711 • **Somatosensory and Vestibular Dysfunction:** Patients are vision-dependent at all times to maintain balance. They are destabilized with vision absent or impaired (e.g., low lighting, moving situations).

5))

- Visual Preference: Patients are destabilized by orientating with inaccurate visual stimuli
   (e.g., moving quickly in complex visual environments) although they perform normally in
   the absence of vision.
- Vestibular Dysfunction and Visual Preference Pattern: Patients are destabilized by
   orientating with inaccurate visual stimuli although they perform normally in the absence
   of vision. They also experience instability on irregular surfaces when visual cues are
   absent.
- Across the Board: Patients have decreased postural control regardless of the sensory condition they are functioning in. They are unstable or symptomatic any time they change from one sensory situation to another.

# 17231724 Motor Control Test

- **Weight Symmetry:** Values to the right or left of normal limits show a disproportionate amount of body weight is being carried by the right or left leg.
- Latency: Abnormal latency in both translation directions with both extremities suggests a problem within the long latency pathways involving the peripheral and motor nerves, the ascending sensory and descending motor pathways of the spinal cord, and/or the motor regions of the brainstem and cerebral cortex. If delays are measured in both directions, additional medical examination is required. Patients who have abnormal latencies due to central or peripheral nervous system pathologies have limited capacity to improve automatic or rapid functional postural responses.
- Amplitude Scaling: When strengths are bilaterally weak, the patient may be ineffective at quickly and accurately compensating for perturbations. The result could be increased sway oscillations under all sensory conditions. When strengths are bilaterally too strong, the patient may tend to overshoot the centred position and oscillate. Unilateral response strength abnormalities may have functional implications in gait due to an offset COG.

### 1741 Adaptation Test

- An effective response suggests adequate motor planning and learning, musculoskeletal status,
  balance response strategies, emotional state and level of anxiety. An ineffective response
  suggests the patient may be at risk for loss of balance in similar functional situations.
- 1745

1756

1740

1725

For a list of validity and efficacy studies please go to: <u>http://balanceandmobility.com/ for-</u>
 <u>clinicians/ computerized-dynamic-posturography/validity-and-efficacy-studies/</u>

### 1749 Contraindications

1750 There are weight and height restrictions for testing. The platform can accommodate people 1751 weighing between 18 and 136 kg with a height of 76 to 203 cm. Patients who cannot stand erect 1752 and unsupported for more than 2 to 3 minutes or who lose their balance when standing on a 1753 fixed surface with their eyes closed cannot be tested.

#### 1754 1755 **Limitations**:

- By itself, CDP testing cannot diagnose pathology or site of lesion.
- Alcohol and certain medications, such as sedatives, anti-nausea medication, anti histamines, diuretics, tranquilizers, and anti-depressants, can affect test results if taken
   within 48 hours.
- The distribution of weight can influence latency, response strength, and strength symmetry scores and should be taken into account when interpreting those scores.

1762 Results can be affected by patients who have secondary gain or anxiety, or those who want to demonstrate their deficits from a real pathology to their clinician. Objective 1763 aphysiologic patterns can be determined from the SOT and MCT and can assist the 1764 1765 clinician in analyzing the test data, such as high inter-trial variability on all SOT trials, conditions 5 and 6 relatively better than conditions 1 and 2, and/or inconsistent MCT 1766 1767 responses (Mallinson, 2014).

1768 If CDP testing is not available, foam posturography can be used as a functional measurement 1769 tool such as the Clinical Test of Sensory Integration and Balance (CTSIB) or the Gans Sensory 1770 Organization Performance (SOP) Test.

#### Electrocochleography (ECochG) 1771

1772 Electrocochleography is a test that has been used to investigate the presence of Ménière's 1773 disease. While the test may have diagnostic value, there is a lack of consensus regarding norms, technique, and interpretation in the literature. A detailed exploration of these many 1774 1775 factors falls outside of the scope of this document. Audiologists looking to perform this test are encouraged to carefully review the available literature. 1776 1777

#### Vestibular Management 1778

Choosing Wisely Canada (CWC) is a multi-disciplinary campaign to help physicians and 1779 patients engage in conversations about unnecessary tests and treatments and make smart and 1780 1781 effective choices to ensure high-quality care. The Canadian Society of Otolaryngology-Head 1782 and Neck Surgery created a list of five things physicians and patients should question, including 1783 information on testing dizzy patients. They recommended:

1784

1785 (Not to) order specialized audiometric and vestibular neurodiagnostic tests in an 1786 attempt to screen for peripheral vestibular disease. The diagnosis of the dizzy patient should be guided by the presenting symptoms and office examination. Tests 1787 such as ABR (auditory brainstem response), ECOG (electrocochleography), 1788 ENG/VNG (electronystagmography/videonystagmography), VEMP(vestibular 1789 evoked myogenic potential), vHIT(video head impulse test), CDP (computerized 1790 1791 dynamic posturography), and RTC (rotational chair testing) should only be ordered if 1792 clinically indicated. In general, advanced balance tests should be ordered and 1793 interpreted by otolaryngologists with specialized training in the diagnosis and 1794 treatment of vestibular disorders (otologists/neurotologists). Clinical indications for testing can include: side localization and stage of progression for Ménière's disease, 1795 assessment of central compensation for acute vestibular loss, and confirmation of 1796 1797 superior semicircular canal dehiscence syndrome. Specialized tests are rarely indicated in the management of benign paroxysmal positional vertigo. (Canadian 1798 1799 Society of Otolaryngology-Head and Neck Surgery, 2016)

1800

A second suggestion from the CSOHNS was to: 1801

1802 (Not) perform particle repositioning maneuvers (Epley or Semont) without a clinical 1803 diagnosis of posterior semicircular canal benign paroxysmal positional vertigo in the 1804 1805 affected ear. Posterior semicircular canal benign paroxysmal positional vertigo 1806 should be diagnosed and confirmed with a positive Dix-Hallpike test, and only then

- 1807 should a particle repositioning maneuver be performed. If a patient with positional vertigo has a Dix-Hallpike test that is repeatedly negative or results in atypical 1808 nystagmus, less common BPPV variants or central positional vertigo should be 1809
- 1810 considered (Canadian Society of Otolaryngology-Head and Neck Surgery, 2016). 1811
- It is recommended that audiologists who order and/or interpret advanced balance tests or who 1812 1813 perform particle repositioning maneuvers should also follow these recommended guidelines. 1814

#### Vestibular Rehabilitation 1815

- Detailed information about vestibular rehabilitation is beyond the scope of this current guideline; 1816 1817 however, some general information will be provided here.
- 1818
- 1819 Patients with acute BPPV will benefit from particle repositioning maneuvers. The specific
- treatment maneuver to be used will depend on the affected semicircular canal (Hilton & Pinder, 1820 1821 2014; Hunt, Zimmerman & Hilton, 2012; Helminski, Zee, Janssen & Hain, 2010).
- 1822
- 1823 In general, there are three main types of vestibular rehabilitation exercises: adaptation,
- habituation, and substitution. The goal of adaptation exercises is to improve or strengthen the 1824 1825 VOR function. The goal of habituation exercises is to desensitize the patient to certain
- 1826 symptom-provoking movements. The goal of substitution exercises is to use other senses to replace (substitute) vestibular input-e.g., using additional visual or proprioceptive input 1827 1828 (Brodovsky & Vnenchak, 2013).
- 1829

1830 Both audiologists and physiotherapists can receive training and certification in the area of 1831 vestibular rehabilitation. It is recommended that vestibular clinicians network with available vestibular rehabilitation specialists in their geographic area. 1832

1833

1837

1834 For those vestibular audiologists looking to receive additional training in the area of vestibular 1835 rehabilitation, these are some helpful resources: 1836

- The American Institute of Balance:
- http://dizzy.com/education foundation.htm
- 1838 Vestibular Disorders Association: • http://vestibular.org/resources-professionals/build-vour-practice 1839
- 1840

#### **Pediatric Population** 1841

1842 Detailed information about pediatric vestibular testing is beyond the scope of this current guideline; however, some general information will be provided here. It is important to remember 1843 1844 that very little normative data is available in pediatric vestibular evaluation, and many of the 1845 results are simply reported as present/absent (see Table 9).

1846

1847 Like other areas of pediatric testing, it is important to make the testing as engaging as possible 1848 and modify your technique accordingly. An example of making vestibular testing more pediatric-1849 friendly would be to develop a fantasy storyline. For example, explain that the enclosed rotary

1850 chair is like a pretend spaceship, and that the pediatric patient is going to be going for 1851 "astronaut training." A similar approach can be used during caloric testing with pediatric1852 patients.

1853

Patient case history is critical for assessment of the pediatric vestibular patient (see Tables 1, 2, 3, and 4). It is important to note that up to 70% of children presenting with sensorineural hearing
loss have impairment of their vestibular system with 20–40% having severe bilateral vestibular
loss (Cushing, Gordon, Rutka, James, & Papsin, 2013; Cushing, Papsin, Rutka, James, &
Gordon, 2008; Cushing, James, Papsin, & Gordon, 2008; Buchman, Joy, Hodges, Telischi, &
Balkany, 2004).

1860

Some children with dysfunction of the vestibular portion of the inner ear will never be
vertiginous. This is particularly likely if this dysfunction is severe, congenital, and/or bilateral.
Impairment of the vestibular end organs may be suggested if there are delayed motor
milestones (e.g., poor head control [beyond 6 weeks old], delayed independent sitting [beyond 9
months], and delayed walking [beyond 18 months]) (Cushing, 2014).

1866

Test	Newborn	<3 Years	3-14 Years	Age of Adult-like Response
Rotary chair	+	+	+	1 year
Calorics	+	+	+	2 years
oVEMP	-	+	+	3 years
Dynamic visual acuity test (DVAT)	-	-	+	3 years
Foam posturography	-	-	+	12 years
CDP	-	-	+	15 years
cVEMP	+	+	+	Adolescent

### 1867 Table 9: Vestibular Tests and Pediatric Patient Age (Young, 2015)

## **1868** Importance of Counselling

1869 Depending on the clinic's standards of practice, as well as information outlined by the applicable regulatory body, the depth of interpretation for vestibular test results will vary. Some provinces 1870 1871 or territories may not allow audiologists to give a specific diagnostic indication based on the 1872 vestibular test results. Despite not giving a specific diagnosis, the audiologist can assist their patients by educating them about dizziness and balance terminology, discussing tips on how to 1873 1874 improve communication with their referring physicians about their case histories, educating them about the vestibular tests that are available and what they measure, explaining general 1875 patient management pathways, highlighting the importance of stress management, discussing 1876 1877 fall prevention, and detailing what other professional resources or support groups are 1878 available. 1879

- Provision of printed education materials regarding vestibular disorders and management may be useful for audiologists during counselling. In addition, audiologists may find it useful to consult anatomical charts or models to illustrate points of possible dysfunction within the vestibular/balance system(s).
- 1884

For patients diagnosed with vestibular migraine, migraine triggers can be discussed—such as triggers related to diet-, barometric pressure, fatigue, hormones, and/or stress. Similarly, dietrelated triggers can be monitored for patients suspected of having Ménière's disease or endolymphatic hydrops. Suggesting the use of a "dizzy diary" can be useful for patients to monitor and record their symptoms over time and to look for provoking factors, links, or trends between their symptoms.

# 1891 Reporting/Documentation

1892 It is important to ensure that clinicians are adhering to standards and regulations regarding 1893 clinical documentation developed by provincial and territorial regulatory bodies, national 1894 associations, and their employers. Adherence to freedom of information and privacy laws is 1895 also. It is up to each audiologist to ensure they are in compliance with the laws in their own 1896 region. 1897

- 1898 Distribution of the patient report should include information for the referring clinician, as well as 1899 copies for any other health professional as requested by the patient.
- 1900

At a minimum, the report should include diagnostic test results and an analysis of whether the
 results are normal or outside normal limits. Reporting should also include case history,
 interpretations/impressions, and recommendations for management and follow-up.

1904

Audiologists should avoid basing interpretations/impressions on one single diagnostic finding;
 instead, take overall test results and patient case history into consideration.

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